

ESS Round 9 Contact Form – Individual named samples

1. Sample unit label: Personal				sonal		Respondent ID
						Respondent's name
2	. Intervie	wer on c	286		3 R	espondent's telephone number
	fill out at					umber is:
	tempt numb		ewer num	ber		espondent refuses to give number
1	→					espondent does not have a telephone
	→					
	→				4. O	ther telephone number (optional):
	→					
					<u>-</u>	
5	. Record	of all co	ntact a	attempts (fill out c	one row per attempt)
	Date	Day	Time			RESULT of the contact attempt
	dd/mm	of the week: 1	24 hr clock	1 = persona		1 = Completed interview
		(Monday) -	CIOCK	2 = telephor 3 = persona		2 = Partial Interview
		7 (Sunday)		but only inte	rcom	3 = Contact with unidentified person 4 = Contact with target respondent but NO interview
				4 = info thro survey organ		5 = Contact with somebody other than target respondent
				5 = other	illoation	6 = No contact at all 7 = Invalid address
						8 = Other information about sample unit
1	/		:			
2	/		:			
3	/		:			
4	/		:			
5	/		:			
6	/		:			
7	/		:			
8	/		:			
9	/		:			
10	/		:			
Ne	xt steps	based o	n resul	t of conta	ct atter	npt Notes about timing (optional)
	sult = 1, 2			Go to sec		3 (1)
	sult = 3, 4		→	Go to 6 (d	outcome	e contact)
Re	sult = 7		→	Go to 12	(invalid	address)





6. Outcome of contact attempt	one per contact attempt)			ONLY IF result of contact attempt was 3, 4 ,5 or 8					,5 or 8		
		Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt
		1	2	3	4	5	6	7	8	9	10
An appointment was made GO TO	→ N1	01	01	01	01	01	01	01	01	01	01
Refusal of target respondent	→ 7	02	02	02	02	02	02	02	02	02	02
3. Refusal by proxy (family, acquaintance)	→ 7	03	03	03	03	03	03	03	03	03	03
4. Someone refused, not sure if target respondent	→ 7	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4
5. Respondent is unavailable/not at home until	→ N1	05	05	05	05	05	05	05	05	05	05
/	→ INI	/	/	/	/	/	/	/	/	/	/
6. Mentally/physically unable/ill/sick (short term and	→ N1	06	06	06	06	06	06	06	06	06	06
therefore could revisit during the fieldwork period)	7 IVI							0.0			0.0
7. Mentally/physically unable/ill/sick (long term and											
would be unable to complete interview during the	→ N1	07	07	07	07	07	07	07	07	07	07
fieldwork period)											
8. Respondent is deceased	→ END	0 8	08	0 8	08	08	08	0 8	0 8	0.8	0 8
Respondent moved abroad	→ END	09	09	09	09	09	09	09	09	09	09
10. Respondent moved, unsure whether abroad → END			0 10	O 10	O 10	0 10	O 10				
11. Respondent moved within country	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	O 11	
12. Language barrier	0 12	0 12	0 12	0 12	0 12	O 12	O 12	0 12	O 12	O 12	
13. Other:	O 13	O 13	O 13	O 13	O 13	O 13	O 13	O 13	O 13	0 13	

6b	b In case of language barrier: What is t	he language of the respondent?
		→ N1



Questions to answer ONLY IF outcome of contact attempt (Q6) was 2, 3 or 4 (refusal)									
	7. At which contact attempt did the refusal occur?								
(Check	the contact attempt on p. 1, start in the left column)								
8 Wh	at are the reasons mentioned for the refusal? (code all that								
apply)	at the reasons mentioned for the relusar: (code all that								
	It is bad timing (otherwise engaged, sick children, visitors,)	□ 1	□ 1	□ 1					
	I am not interested	□ 2	□ 1	□ 2					
3	I don't know enough about this topic, too difficult for me	□ 3	□ 3	□ 3					
	This is a waste of time	□ 4	□ 4	□ 4					
	This is a waste of money	□ -	□ 4 □ 5	□ 4					
	The survey interferes with my privacy / I give no personal information	□ 6	□ 6	□ 6					
	I never do surveys	□ 7	□ 7	□ 7					
	I have already co-operated in surveys too often	□ 8	□ 7	□ 8					
	I do not trust surveys	□ 8	_						
	I have had bad experiences before with surveys	□ 9 □ 10	□ 9 □ 10	□ 9 □ 10					
	I don't like the subject	□ 10 □ 11		□ 10					
	My partner/family/household members do not give approval	□ 11	□ 11 □ 12	□ 11					
	I do not admit strangers to my house, I am afraid to let them in		□ 12 □ 12	□ 12					
	Other:	□ 13	□ 13	□ 13					
14	Other	□ 14	□ 14	□ 14					
	w likely do you think future co-operation from the target								
respo	ndent is? (choose only one)	01	01	01					
1 2	Target respondent will DEFINITELY NOT co-operate in the future Target respondent will PROBABLY NOT co-operate in the future	0 2	02	02					
3	Target respondent may PERHAPS co-operate in the future	03	03	03					
	Target respondent WILL co-operate in the future	04	04	0 4					
5	No contact with target respondent	0 5 0 8	O 5 O 8	O 5 O 8					
8	Don't know		0 0						
10. Ho	ow old do you think the person who made the refusal is?								
1	Under 20	0 1	01	01					
2	20 up to 39	02	02	02					
3	40 up to 59	O 3 O 4	O 3 O 4	O 3 O 4					
4 8	60 or over Don't know	08	08	08					
	hat is the gender of the person who made the refusal?	O 4							
	Male Female	O 1 O 2	O 1 O 2	O 1 O 2					
	Don't know	08	08	08					

→ Go to N1



Question to answer ONLY IF result of contact attempt (Q5) was 7 (invalid address)

12. Why do you consider the address invalid?

The house/address is derelict or demolished	01
2. The home is not yet built or not ready for occupation	02
3. The address is not occupied (empty, second home, seasonal home,)	03
4. The address is not residential: only purpose is business, industrial	0 4
5. The address is not residential: institution (retirement home, hospital, military unit,	05
monastery,)	
6. The address is not traceable. The information I was given is insufficient	06
7. Other:	07

→ END

Question to answer ONLY IF outcome of contact attempt (Q6) was 11 (moved)

13. What is the respondent's new address?

O 1. The new address is:			→ 14
Street:	Number:	Box:	
City:	City code:		
State/county:	Country:		
O 2. The respondent has moved to an institution.			→ END

14. Is the new address still in your interviewing area?

O 1. Yes	→ Skip Form about the home and neighbourhood (N1 onwards) for the moment. Try
	to reach the target respondent at their new address (and register this as a new contact
	attempt on this contact form) and then continue to complete the Form about the home
	and neighbourhood for the new address.
O 2. No	→ END Form about the home and neighbourhood (N1 onwards) to be completed by
	interviewer who is re-assigned the new address.



Form about the home and neighbourhood

To be filled out only <u>once</u> per sample unit

- Fill out during first visit (if possible)
- Fill out during daylight wherever possible
- Fill out regardless of the contact outcome code (so: for all interviews, all noncontacts, all refusals, and all other types of nonresponse)

Answer these questions by only looking at the house/building itself.

N1. What type of house does the (target) respondent live in? (choose only one)

	0	1.	Farm: premises used not only for living but also for rearing animals and/or growing
Single-unit	0	2.	Detached house: home for typically one household that shares no walls with other buildings
ngle	0	3.	Semi-detached house: home connected to another building on one side
Si	0	4.	Terraced house: home connected to another building on both sides
	0	5.	The only housing unit in a building with another purpose, e.g. commercial or office
nit	0	6.	Multi-unit house, flat
Multi-unit	0	7.	Student apartments, rooms: rented out specifically to students
Mc	0	8.	Nursing / retirement home: a building specifically for people in need of care
L	0	9.	House-trailer, boat
Other	0	10.	Other, specify:
	0	88.	Don't know

N2. Before reaching the (target) respondent's individual door, is there an entry phone system or locked gate/door? (record whether there is a gate/door that is locked at the time that the Form about the home and neighbourhood is completed)

0	1.	Yes: an entry phone system
0	2.	Yes: a locked gate or door
0	3.	Yes: an entry phone system AND a locked gate or door
0	4.	No: neither of these

N3. What is the overall physical condition of this house/building?

(based on problems with roof, windows, walls, guttering, etc. as explained in your interviewer manual)

0	1.	Very good
		Good
0	3.	Satisfactory
0	4.	Bad
0	5.	Very bad



Answer these questions looking at the house/building plus the space of 15 metres to the left and to the right (= about 2 normal-sized houses on each side)

N4. In the immediate vicinity, how much litter and rubbish is there?

(compare the amount to the pictures in your interviewer manual)

0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none

N5. In the immediate vicinity, how much vandalism and graffiti is there?

(compare the amount to the pictures in your interviewer manual)

1001	impare the amount to the plotares in your interviewer manage							
0	1.	A very large amount						
0	2.	A large amount						
0	3.	A small amount						
0	4.	None or almost none						



ESS Round 9 Contact Form – Household samples using Rizzo selection method (RIZZO BIRTH)

				metno	oa (RIZ	O_BIRTH)	
Н	ousehold	ID:					
_	alaadaa l	-11					
(p	election la	abei					
۲,	· /						
1.	Sample (unit lab	el: Hoı	ısehold		Respondent ID	
			<u> </u>				
						Respondent's nan	ne
2.	Interview	ver on c	ase		3. R	espondent's telephone	number
	fill out at le		row)		0 N	mber is:	
At	tempt numbe	r Intervie	ewer num	ber		spondent refuses to give	
1	→				0 R	spondent does not have	a telephone
	<u>→</u>						, , , , , , , , , , , , , , , , , , ,
	<u>→</u>				4. C	her telephone number	(optional):
	→						
5.	Record o	of all co	ntact a	attempts (fill out o	ne row per attempt)	
	Date	Day	Time			RESULT of the contac	t attempt
	dd/mm	of the week: 1	24 hr clock	1 = persona 2 = telephor		1 = Completed interview	
		(Monday) -		3 = persona		2 = Partial Interview 3 = Contact with unidentified pe	rson
	,	7 (Sunday)		but only inte 4 = info thro		4 = Contact with target respond	ent but NO interview
				survey orga		5 = Contact with somebody othe 6 = No contact at all	er than target respondent
				5 = other		7 = Invalid address	
_	,					8 = Other information about san	nple unit
	/		:				
2	/		:				
3	/		:				
	/		•				
5	/						
6	/		-				
7	/		:				
3	/		:				
)	/		:				
)	/		:				
ex	kt steps b	ased o	n resul	t of conta	ct atte	pt Notes	about timing (optional
	sult = 1, 2			Go to N1			J (2)
	sult = $3, 4,$		→	Go to 6 (d	outcome	contact)	
es	- $0, -$						



If there are more

household

than 2 adults in the

THE RESPONDENT SELECTION PROCEDURE

SEEK CONTACT WITH A RESPONSIBLE ADULT (SCREENER RESPONDENT) AT THE SELECTED UNIT AND INTRODUCE THE SURVEY

B1. The respondent sel	B1. The respondent selection procedure was made at visit number:						
B2. Ask: Including your house/flat/part of th	self, how many people aged 15 or over live in this e accommodation?						
Enter the exact numb	oer (N):						
If one person only → 1	This is the respondent, start the interview						
Otherwise → C	Go to B3						
,	nown on the selection label on p.1						
Yes	→ This is the respondent, start the interview.						
No	→ The screener respondent is not the selected respondent. Go to B4						
B4.							
If there are 2 adults in the household	→ The other person aged 15+ in the household is the selected respondent. Ask to speak to that person or set up a call-back.						

Record full name of the selected person on the first page of the contact form.

→ Ask the screener respondent:

yourself - last celebrated his/her birthday?

Ask to speak to that person or set up a call-back

Which of these people (aged 15 and over) – other than

ASK FOR THE SELECTED PERSON AND INTRODUCE THE SURVEY

→ FILL IN RESULT AT Q5, p.1 AND CONTINUE CONTACT FORM



ESS DOCUMENT DATE 29/11/2018 ESS9 Household Samples using Rizzo (Birthday)

6. Outcome of contact attempt (one per	contact	attempt,) ON	ONLY IF result of contact attempt was 3, 4,5 or				,5 or 8		
		Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt
		1	2	3	4	5	6	7	8	9	10
An appointment was made GO TO	→ N1	01	0 1	01	01	01	01	01	01	0 1	01
Refusal of target respondent	→ 7	02	02	02	02	02	02	02	02	02	02
3. Refusal by proxy (family, acquaintance)	→ 7	03	03	03	03	03	03	03	03	03	03
4. Someone refused, not sure if target respondent	→ 7	0 4	0 4	0 4	04	04	0 4	04	04	0 4	0 4
5. Respondent is unavailable/not at home until	N NIA	0 5	0 5	05	05	05	05	05	05	0 5	0 5
	→ N1	/	/	/	/	/	/	/	/	/	/_
6. Mentally/physically unable/ill/sick (short term and	N N/4	0.0	0.0	0.0	06	0.0	0.0	0.0	0.0	0.0	0.0
therefore could revisit during the fieldwork period)	→ N1	06	0 6	06	06	06	06	06	06	0 6	06
7. Mentally/physically unable/ill/sick (long term and											
would be unable to complete interview during the	→ N1	07	07	07	07	07	07	07	07	07	07
fieldwork period)											
8. Respondent is deceased	→ END	0 8	0 8	08	08	08	08	08	08	0 8	0 8
9. Respondent moved abroad	→ END	09	09	09	09	09	09	09	09	09	0 9
10. Respondent moved, unsure whether abroad	O 10	O 10	O 10	O 10	O 10	O 10	O 10	O 10	O 10	O 10	
11. Respondent moved within country	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	
12. Language barrier	→ 6b	O 12	O 12	O 12	O 12	0 12	0 12	O 12	0 12	O 12	O 12
13. Other:	→ N1	O 13	O 13	O 13	O 13	O 13	O 13	O 13	O 13	O 13	O 13

6b	In case of language barrier: What is the l	anguage of the respondent?
		> N1



Questions to answer ONLY IF outcome of contact attempt (Q6) was 2, 3 or 4 (refusal)								
	7. At which contact attempt did the refusal occur?							
(Checi	k the contact attempt on p. 1, start in the left column)							
8. Wł	nat are the reasons mentioned for the refusal? (code all that							
apply	·							
1	It is bad timing (otherwise engaged, sick children, visitors,)	□ 1	□ 1	□ 1				
2	I am not interested	□ 2	□ 2	□ 2				
3	I don't know enough about this topic, too difficult for me	□ 3	□ 3	□ 3				
4	This is a waste of time	□ 4	□ 4	□ 4				
5	This is a waste of money	□ 5	□ 5	□ 5				
6	The survey interferes with my privacy / I give no personal information	□ 6	□ 6	□ 6				
7	I never do surveys	□ 7	□ 7	□ 7				
8	I have already co-operated in surveys too often	□ 8	□ 8	□ 8				
9	I do not trust surveys	□ 9	□ 9	□ 9				
10	I have had bad experiences before with surveys	□ 10	□ 10	□ 10				
	I don't like the subject	□ 11	□ 11	□ 11				
	My partner/family/household members do not give approval	□ 12	□ 12	□ 12				
	I do not admit strangers to my house, I am afraid to let them in	□ 13	□ 13	□ 13				
	Other:	□ 14	□ 14	□ 14				
			□ 1 •	□ 1 1				
9. Ho								
targe	t respondent is? (choose only one)							
1	Target respondent will DEFINITELY NOT co-operate in the future	01	01	01				
2	Target respondent will PROBABLY NOT co-operate in the future	02	O 2 O 3	O 2 O 3				
3	Target respondent may PERHAPS co-operate in the future	04	04	04				
4	Target respondent WILL co-operate in the future	0.5	05	05				
5 8	No contact with target respondent Don't know	0 8	0 8	08				
	Don't know							
10 H	ow old do you think the person who made the refusal is?							
1	Under 20	01	01	01				
2	20 up to 39	02	02	02				
3	40 up to 59	03	03	03				
4	60 or over	0 4	04	0 4				
8	Don't know	0 8	0 8	0 8				
44 1-								
	/hat is the gender of the person who made the refusal?	01	0 1	0 1				
1	Male Female	0 2	0 2	0 2				
2 8	Don't know	08	08	08				
U	2011 (1010)	Ī						

→ Go to N1



Question to answer ONLY IF result of contact attempt (Q5) was 7 (invalid address)

12. Why do you consider the address invalid?

The house/address is derelict or demolished	01
2. The home is not yet built or not ready for occupation	02
3. The address is not occupied (empty, second home, seasonal home,)	03
4. The address is not residential: only purpose is business, industrial	0 4
5. The address is not residential: institution (retirement home, hospital, military unit,	05
monastery,)	
6. The address is not traceable. The information I was given is insufficient	06
7. Other:	07

Question to answer ONLY IF outcome of contact attempt (Q6) was 11 (moved)

13. What is the respondent's new address?

O 1. The new address is:			→ 14
Street:	Number:	Box:	
City:	City code:		
State/county:	Country:		
O 2. The respondent has moved to an institution.			→ END

14. Is the new address still in your interviewing area?

O 1. Yes	→ Skip Form about the home and neighbourhood (N1 onwards) for the moment.
	Try to reach the target respondent at their new address (and register this as a new
	contact attempt on this contact form) and then continue to complete the Form
	about the home and neighbourhood for the new address.
O 2. No	→ END Form about the home and neighbourhood (N1 onwards) to be
	completed by interviewer who is re-assigned the new address.



Form about the home and neighbourhood

To be filled out only once per sample unit

- Fill out during first visit (if possible)
- Fill out during daylight wherever possible
- Fill out regardless of the contact outcome code (so: for all interviews, all noncontacts, all refusals, and all other types of nonresponse)

Answer these questions by only looking at the house/building itself.

N1. What type of house does the (target) respondent live in? (choose only one)

	0	1.	Farm: premises used not only for living but also for rearing animals and/or growing
			crops
Single-unit	0	2.	Detached house : home for typically one household that shares no walls with other buildings
ngle	0	3.	Semi-detached house: home connected to another building on one side
S	0	4.	Terraced house: home connected to another building on both sides
	0	5.	The only housing unit in a building with another purpose, e.g. commercial or office
nit	0	6.	Multi-unit house, flat
Multi-unit	0	7.	Student apartments, rooms: rented out specifically to students
Σ	0	8.	Nursing / retirement home: a building specifically for people in need of care
_	0	9.	House-trailer, boat
Other	0	10.	Other, specify:
	0	88.	Don't know

N2. Before reaching the (target) respondent's individual door, is there an entry phone system or locked gate/door? (record whether there is a gate/door that is locked at the time that the Form about the home and neighbourhood is completed)

0	1.	Yes: an entry phone system
0	2.	Yes: a locked gate or door
0	3.	Yes: an entry phone system AND a locked gate or door
0	4.	No: neither of these

N3. What is the overall physical condition of this house/building?

(based on problems with roof, windows, walls, guttering, etc. as explained in your interviewer manual)

0	1.	Very good
0	2.	Good
0	3.	Satisfactory
0	4.	Bad
0	5.	Very bad



Answer these questions looking at the house/building plus the space of 15 metres to the left and to the right (= about 2 normal-sized houses on each side)

N4. In the immediate vicinity, how much litter and rubbish is there?

(compare the amount to the pictures in your interviewer manual)

0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none

N5. In the immediate vicinity, how much vandalism and graffiti is there?

(compare the amount to the pictures in your interviewer manual)

1001	npai	e the amount to the plotares in your interviewer manage
0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none



Respondents in household (N)	1/N
2	0.500
3	0.333
4	0.250
5	0.200
6	0.167
7	0.143
8	0.125
9	0.111
10	0.100
11	0.091
12	0.083
13	0.077
14	0.071
15	0.067
16	0.063
17	0.059
18	0.056
19	0.053
20	0.050
21	0.048
22	0.045
23	0.043
24	0.042
25	0.040



	ousehol	d ID:							
1.	Sample	unit lab	el: Hou	ısehold		Respondent ID			
						Respondent's name			
)	Intervie	wer on c	ase		3. R	espondent's telephone number			
	fill out at					umber is:			
١.	tempt numb		ewer num	ber		espondent refuses to give number			
	→					espondent does not have a telephone			
_	}					top show a coophone			
_	}				4. C	other telephone number (optional):			
	→					(op. 111)			
5	Record	of all co	ntact a	nttempts (i	fill out o	one row per attempt)			
	Date	Day	Time			RESULT of the contact attempt			
	dd/mm	of the week: 1	24 hr clock	1 = personal 2 = telephone		1 = Completed interview			
		(Monday) -	0.00.0	3 = personal					
		7 (Sunday)		but only inter 4 = info throu	com 4 = Contact with target respondent but NO interview				
				survey organ		5 = Contact with somebody other than target respondent 6 = No contact at all			
				5 = other		7 = Invalid address			
						8 = Other information about sample unit			
4			•						
			-						
			:						
	/								
			- :						
;			:						
•	/		:						
			:						
١	/		:						
	/		:						
_									
			_						
_				t of conta	ct atte	Notes about timing (option			
	xt steps sult = 1, 2 sult = 3, 4	2 or 6	→	t of contage Go to N1 Go to 6 (o					



THE RESPONDENT SELECTION PROCEDURE

SEEK CONTACT WITH RESPONSIBLE ADULT AT THE SELECTED UNIT AND INTRODUCE THE SURVEY

B1. The responder	31. The respondent selection procedure was made at visit number:				
32. Ask: Including yourself, how many people aged 15 or over live in this house/flat/part of the accommodation?					
Enter the exact	number:				
If one person only	→ This is the respondent, start the interview				
Otherwise	→ Go to B3				
B3. Which one of y	ou <u>last</u> celebrated his/her birthday?				
Record full name of	of the selected person on the first page of the contact form.				
	LECTED PERSON AND INTRODUCE THE SURVEY T AT Q5, p.1 AND CONTINUE CONTACT FORM				



ESS DOCUMENT DATE 28/05/2018 ESS9 Household Samples using Birthday

6. Outcome of contact attempt	e one per	r contact	attempt) ON	LY IF re	sult of c	ontact a	attempt	was 3, 4	,5 or 8	
		Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt	Attempt
		1	2	3	4	5	6	7	8	9	10
An appointment was made GO TO	→ N1	01	01	01	01	01	01	01	01	01	01
Refusal of target respondent	→ 7	0 2	02	02	02	02	02	02	02	02	02
3. Refusal by proxy (family, acquaintance)	→ 7	03	03	03	03	03	03	03	03	03	03
4. Someone refused, not sure if target respondent	→ 7	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4
5. Respondent is unavailable/not at home until	→ N1	05	05	05	05	05	05	05	05	05	05
	7 M1	/	/	/	/	/	/	/	/	/	/
6. Mentally/physically unable/ill/sick (short term and	→ N1	06	06	06	06	06	06	06	06	06	06
therefore could revisit during the fieldwork period)											
7. Mentally/physically unable/ill/sick (long term and											
would be unable to complete interview during the → N1		07	07	07	07	07	07	07	07	07	07
fieldwork period)											
8. Respondent is deceased	→ END	0 8	08	08	08	08	08	08	08	08	08
Respondent moved abroad	\rightarrow END	09	09	09	09	09	09	09	09	09	09
10. Respondent moved, unsure whether abroad	→ END	O 10	O 10	0 10	O 10	O 10	O 10	O 10	0 10	O 10	O 10
11. Respondent moved within country	→ 13	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11
12. Language barrier	→ 6b	O 12	0 12	0 12	0 12	0 12	0 12	O 12	0 12	0 12	0 12
13. Other:	→ N1	0 13	O 13	O 13	O 13	O 13	O 13	0 13	0 13	O 13	0 13

6b	In case of language barrier: What is the langua	ge of the respondent?
		> N1



Questions to answer ONLY IF outcome of contact attempt (Q6) was 2, 3 or 4 (refusal)					
	which contact attempt did the refusal occur?				
(Chec	k the contact attempt on p. 1, start in the left column)				
8. WI	nat are the reasons mentioned for the refusal? (code all that				
apply	· ·				
1	It is bad timing (otherwise engaged, sick children, visitors,)	□ 1	□ 1	□ 1	
2	I am not interested	□ 2	□ 2	□ 2	
3	I don't know enough about this topic, too difficult for me	□ 3	□ 3	□ 3	
4	This is a waste of time	□ 4	□ 4	□ 4	
5	This is a waste of money	□ 5	□ 5	□ 5	
6	The survey interferes with my privacy / I give no personal information	□ 6	□ 6	□ 6	
7	I never do surveys	_	_	_ 7	
8	I have already co-operated in surveys too often	□ 8	□ 8	□ 8	
9	I do not trust surveys	□ 9	□ 9	□ 9	
	I have had bad experiences before with surveys	□ 10	□ 10	□ 10	
	I don't like the subject	□ 11	□ 11	□ 10 □ 11	
	My partner/family/household members do not give approval	□ 12	□ 12	□ 11 □ 12	
	I do not admit strangers to my house, I am afraid to let them in	□ 12	□ 12	□ 12 □ 13	
	Other:	□ 13 □ 14	□ 13 □ 14	□ 13 □ 14	
		□ 14	□ 14	□ 14	
9. H	ow likely do you think that future co-operation from the				
targe	et respondent is? (choose only one)	0.4	0.4	0.4	
1	Target respondent will DEFINITELY NOT co-operate in the future	O 1 O 2	O 1 O 2	O 1 O 2	
2	Target respondent will PROBABLY NOT co-operate in the future	03	03	03	
3	Target respondent may PERHAPS co-operate in the future	04	04	04	
4	Target respondent WILL co-operate in the future	05	05	05	
5 8	No contact with target respondent Don't know	0 8	0 8	0 8	
	DOLLKIOM				
10. H	ow old do you think the person who made the refusal is?				
1	Under 20	01	01	01	
2	20 up to 39	02	02	02	
3	40 up to 59	03	03	03	
4	60 or over	04	04	04	
8	Don't know	0 8	0 8	0 8	
11. W	/hat is the gender of the person who made the refusal?				
1	Male	01	01	01	
2	Female	02	02	02	
8	Don't know	0 8	0 8	0 8	

→ Go to N1



Question to answer ONLY IF result of contact attempt (Q5) was 7 (invalid address)

12. Why do you consider the address invalid?

The house/address is derelict or demolished	01	
2. The home is not yet built or not ready for occupation		
3. The address is not occupied (empty, second home, seasonal home,)		
4. The address is not residential: only purpose is business, industrial		
5. The address is not residential: institution (retirement home, hospital, military unit,		
monastery,)		
6. The address is not traceable. The information I was given is insufficient		
7. Other:	07	

_		
•		_
_		
	LIN	L

Question to answer ONLY IF outcome of contact attempt (Q6) was 11 (moved)

13. What is the respondent's new address?

O 1. The new address is:			→ 14
Street:	Number:	Box:	
City:	City code:		
State/county:	Country:		
O 2. The respondent has moved to an institution.			→ END

14. Is the new address still in your interviewing area?

O 1. Yes	→ Skip Form about the home and neighbourhood (N1 onwards) for the moment. Try to reach the target respondent at their new address (and register this as a new contact attempt on this contact form) and then continue to complete the Form about the home and neighbourhood for the new address.
O 2. No	→ END Form about the home and neighbourhood (N1 onwards) to be completed by interviewer who is re-assigned the new address.



Form about the home and neighbourhood

To be filled out only once per sample unit

- Fill out during first visit (if possible)
- Fill out during daylight wherever possible
- Fill out regardless of the contact outcome code (so: for all interviews, all noncontacts, all refusals, and all other types of nonresponse)

Answer these questions by only looking at the house/building itself.

N1. What type of house does the (target) respondent live in? (choose only one)

	0	1.	Farm: premises used not only for living but also for rearing animals and/or growing
			crops
Single-unit	0	2.	Detached house : home for typically one household that shares no walls with other buildings
ngle	0	3.	Semi-detached house: home connected to another building on one side
Si	0	4.	Terraced house: home connected to another building on both sides
	0	5.	The only housing unit in a building with another purpose, e.g. commercial or office
nit	0	6.	Multi-unit house, flat
Multi-unit	0	7.	Student apartments, rooms: rented out specifically to students
Mc	0	8.	Nursing / retirement home: a building specifically for people in need of care
L	0	9.	House-trailer, boat
Other	0	10.	Other, specify:
	0	88.	Don't know

N2. Before reaching the (target) respondent's individual door, is there an entry phone system or locked gate/door? (record whether there is a gate/door that is locked at the time that the Form about the home and neighbourhood is completed)

	and that the remainder the membership and medicine and the complete and	
0	Yes: an entry phone system	
0	2. Yes: a locked gate or door	
0	3. Yes: an entry phone system AND a locked gate or door	
0	4. No: neither of these	

N3. What is the overall physical condition of this house/building?

(based on problems with roof, windows, walls, guttering, etc. as explained in your interviewer manual)

0	1.	Very good
		Good
0	3.	Satisfactory
0	4.	Bad
0	5.	Very bad



Answer these questions looking at the house/building plus the space of 15 metres to the left and to the right (= about 2 normal-sized houses on each side)

N4. In the immediate vicinity, how much litter and rubbish is there?

(compare the amount to the pictures in your interviewer manual)

0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none

N5. In the immediate vicinity, how much vandalism and graffiti is there?

(compare the amount to the pictures in your interviewer manual)

1001	npai	e the amount to the plotares in your interviewer manage
0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none



									Loos	i iouserioia (samples using	y Nisii	
			Contac	t Forn	1 – F	louse	hold	sam	ples us	ing Kish	(HH_KISH	1)	
Н	ousehol	d ID:											
S	election L	ahel·											
_	erson / HH		n househ	nold)]				
2	3 4	5	6 7	8	9	10	11	12					
S	elect row (S 1 3		erson no 4 7	.) 6	5	8	4	1					
		<u>, </u>	T 1					'	_				
1.	. Sample	unit lab	el: Hou	usehol	d			Res	ponde	nt ID			
								Res	ponde	nt's name	!		
2.	. Intervie	wer on c	ase			3. F	Resp	onde	nt's tel	ephone n	umber		
	fill out at							er is:					
At	tempt numb	er Intervi	ewer num	ber		0 R	espo	nden	t refuse	s to give r	 number		
1	→					0 R	espo	onden	ıt does ı	not have a	telephone	е	
	→												
	→					4. (Othe	r tele	phone	number (optional):		
	→												
5.	Record	of all co	ntact a	attemn	ts (1	ill out	one i	row n	er atten	nnt)			
	Date	Day	Time	Mode		out				contact a	attempt		
	dd/mm	of the	24 hr	1 = pers					eted interv		•		
		week: 1 (Monday) -	clock	2 = tele 3 = pers					Interview				
		7 (Sunday)		but only	/ inter	com				entified perso et responden	on t but NO inter	rview	
				4 = info			5 =	Contac	t with som		han target re		
				5 = otherwise		isation	-		tact at all address				
										about sampl	e unit		
1	/		:										
2	1		:										
3	/												
4 5	1												
6	/												
7	/												
8	/												
9	/		-										
10	/		-										
	,		•										
Ne	xt steps	based oi	n resul	t of co	nta	ct atte	mpt			Notes a	bout timi	ng (opti	onal)
	sult = 1, 2			Go to			-1					J (5/511	/
	sult = 3, 4		→	Go to	6 (o	utcom	e cor	ntact)					
	sult = 7			Go to	•			,					



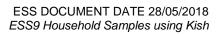
THE RESPONDENT SELECTION PROCEDURE

SEEK CONTACT WITH RESPONSIBLE ADULT AT THE SELECTED UNIT AND INTRODUCE THE SURVEY

B1. The respondent selection procedure was made at visit number:					
B2. Ask: Including yourse house/flat/part of the		people aged 15 or over live in on?	this		
Enter the exact number:					
If one person only If 2-12 persons If more than 12 persons	→ Go to B3				
B3_a. Make a list of all pe		han 15 living in the household	. List them in		
First name or initials	No.	First name or initials	No.		
	□ 1		□ 7		
	□ 2		□ 8		
	□ 3		□ 9		
	□ 4		□ 10		
	□ 5		□ 11		
	□ 6		□ 12		
	Find number on ber beneath the	of corresponding total number of the total number of persons is sele			
IF more than 12 persons B3_b. Person number to l Manual. You can lis Enter number of selected p	st the initials tl	in the look-up table in the Inte here.	rviewer Training		
·					

B4. ALL: Record full name of the selected person on the first page of the contact form.

ASK FOR THE SELECTED PERSON AND INTRODUCE THE SURVEY
→ FILL IN RESULT AT Q5, p.1 AND CONTINUE CONTACT FORM





6. Outcome of contact attempt (choose one per contact attempt) ONLY IF result of contact attempt was 3, 4,5 or 8							,5 or 8				
		Attempt									
		1	2	3	4	5	6	7	8	9	10
An appointment was made GO TO	→ N1	01	01	01	01	01	01	01	01	01	01
Refusal of target respondent	→ 7	02	02	02	02	02	02	02	02	02	02
3. Refusal by proxy (family, acquaintance)	→ 7	03	03	03	03	03	03	03	03	03	03
4. Someone refused, not sure if target respondent	→ 7	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4
5. Respondent is unavailable/not at home until	→ N1	05	05	05	05	05	05	05	05	05	0 5
/	7 IVI	/	/	/	/	/	/	/	/	/	/
6. Mentally/physically unable/ill/sick (short term and	→ N1	06	06	06	06	06	06	06	0.6	06	06
therefore could revisit during the fieldwork period)	7 IN I						0.0	0.0			00
7. Mentally/physically unable/ill/sick (long term and											
would be unable to complete interview during the	→ N1	07	07	07	07	07	07	07	07	07	07
fieldwork period)											
8. Respondent is deceased	→ END	0 8	0 8	0 8	08	08	0 8	0 8	08	08	0 8
Respondent moved abroad	→ END	09	09	09	09	09	09	09	09	09	09
10. Respondent moved, unsure whether abroad	→ END	0 10	O 10								
11. Respondent moved within country	→ 13	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11
12. Language barrier	→ 6b	0 12	0 12	0 12	0 12	O 12	O 12	O 12	0 12	O 12	O 12
13. Other:	→ N1	O 13									

6b	In case of language barrier: What is the language of	the respondent?
		→ N1



Questions to answer ONLY IF outcome of contact attempt (Q6) was 2, 3 or 4 (refusal)						
	which contact attempt did the refusal occur?					
(Checi	k the contact attempt on p. 1, start in the left column)					
8. Wł	nat are the reasons mentioned for the refusal? (code all that					
apply	•					
1	/ It is bad timing (otherwise engaged, sick children, visitors,)	□ 1	□ 1	□ 1		
2	I am not interested	□ 2	□ 2	□ 2		
3	I don't know enough about this topic, too difficult for me	□ 3	□ 3	□ 3		
4	This is a waste of time	□ 4	□ 4	□ 4		
5	This is a waste of money	□ 5	□ 5	□ 5		
6	The survey interferes with my privacy / I give no personal information	□ 6	□ 6	_ 6		
7	I never do surveys	□ 7	_ 7	_ 7		
8	I have already co-operated in surveys too often	□ 8	□ 8	□ 8		
9	I do not trust surveys	□ 9	□ 9	□ 9		
	I have had bad experiences before with surveys	□ 10	□ 10	□ 1 0		
	I don't like the subject	□ 11	□ 11	□ 10 □ 11		
	My partner/family/household members do not give approval	□ 12	□ 12	□ 12		
	I do not admit strangers to my house, I am afraid to let them in	□ 13	□ 13	□ 1 2		
	Other:	□ 13 □ 14	□ 13 □ 14	□ 13 □ 14		
		□ 14	□ 14	□ 14		
9. Ho	ow likely do you think that future co-operation from the					
targe	t respondent is? (choose only one)					
1	Target respondent will DEFINITELY NOT co-operate in the future	01	01	01		
2	Target respondent will PROBABLY NOT co-operate in the future	02	02	02		
3	Target respondent may PERHAPS co-operate in the future	O 3 O 4	O 3 O 4	O 3 O 4		
4	Target respondent WILL co-operate in the future	05	05	05		
5	No contact with target respondent	08	08	08		
8	Don't know					
10 H	ow old do you think the person who made the refusal is?					
1	Under 20	0 1	01	0 1		
2	20 up to 39	02	02	02		
3	40 up to 59	03	03	03		
4	60 or over	0 4	0 4	0 4		
8	Don't know	0 8	0 8	0 8		
	/hat is the gender of the person who made the refusal?	O 4	O 4	0.4		
1	Male	O 1 O 2	O 1 O 2	O 1 O 2		
2 8	Female Don't know	08	08	08		
	DOLL KILOW)))		

→ Go to N1



Question to answer ONLY IF result of contact attempt (Q5) was 7 (invalid address)

12. Why do you consider the address invalid?

1. The house/address is derelict or demolished	01
2. The home is not yet built or not ready for occupation	02
3. The address is not occupied (empty, second home, seasonal home,)	03
4. The address is not residential: only purpose is business, industrial	0 4
5. The address is not residential: institution (retirement home, hospital, military unit,	05
monastery,)	
6. The address is not traceable. The information I was given is insufficient	06
7. Other:	07

_		
•		_
_		
	LIN	L

Question to answer ONLY IF outcome of contact attempt (Q6) was 11 (moved)

13. What is the respondent's new address?

O 1. The new address is:			→ 14
Street:	Number:	Box:	
City:	City code:		
State/county:	Country:		
O 2. The respondent has moved to an institution			→ END

14. Is the new address still in your interviewing area?

O 1. Yes	→ Skip Form about the home and neighbourhood (N1 onwards) for the moment. Try to reach the target respondent at their new address (and register this as a new contact attempt on this contact form) and then continue to complete the Form about the home and neighbourhood for the new address.
O 2. No	→ END Form about the home and neighbourhood (N1 onwards) to be completed by interviewer who is re-assigned the new address.



Form about the home and neighbourhood

To be filled out only <u>once</u> per sample unit

- Fill out during first visit (if possible)
- Fill out during daylight wherever possible
- Fill out regardless of the contact outcome code (so: for all interviews, all noncontacts, all refusals, and all other types of nonresponse)

Answer these questions by only looking at the house/building itself.

N1. What type of house does the (target) respondent live in? (choose only one)

	0	1.	Farm: premises used not only for living but also for rearing animals and/or growing
Single-unit	0	2.	Detached house: home for typically one household that shares no walls with other buildings
ngle		3.	Semi-detached house: home connected to another building on one side
Si	0	4.	Terraced house: home connected to another building on both sides
	0	5.	The only housing unit in a building with another purpose, e.g. commercial or office
nit	0	6.	Multi-unit house, flat
Multi-unit	0	7.	Student apartments, rooms: rented out specifically to students
Mc	0	8.	Nursing / retirement home: a building specifically for people in need of care
L	0	9.	House-trailer, boat
Other	0	10.	Other, specify:
	0	88.	Don't know

N2. Before reaching the (target) respondent's individual door, is there an entry phone system or locked gate/door? (record whether there is a gate/door that is locked at the time that the Form about the home and neighbourhood is completed)

		<u> </u>
0	1.	Yes: an entry phone system
0	2.	Yes: a locked gate or door
0	3.	Yes: an entry phone system AND a locked gate or door
0	4.	No: neither of these

N3. What is the overall physical condition of this house/building?

(based on problems with roof, windows, walls, guttering, etc. as explained in your interviewer manual)

0	1.	Very good
		Good
0	3.	Satisfactory
0	4.	Bad
0	5.	Very bad



Answer these questions looking at the house/building plus the space of 15 metres to the left and to the right (= about 2 normal-sized houses on each side)

N4. In the immediate vicinity, how much litter and rubbish is there?

(compare the amount to the pictures in your interviewer manual)

0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none

N5. In the immediate vicinity, how much vandalism and graffiti is there?

(compare the amount to the pictures in your interviewer manual)

	1	- · · · · · · · · · · · · · · · · · · ·
0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none



	ESS Rou	ınd 9 Co	ntact F	orm -	- Adc	Iress	sam	ples	using I	Birthday (ADD_B	SIRTH)
	ousehol							•	J	, , –	<u> </u>
S	election I	_abel:									
		row (No. ir	n househ	nold)							
2	3 4	4 5	6 7	8	9	10	11	12			
		Selected pe			_	0	4	4			
2	1 3	3 2	4 7	6	5	8	4	1			
1.	. Sample	unit lab	el: Add	dress				Res	ponde	nt ID	
								Res	ponde	nt's name	
2.	. Intervie	wer on c	ase			3. F	Resp	onde	nt's te	ephone number	
(fill out at	least one	row)				•	er is:_			
At	Attempt number Interviewer number			0 R	espo	nden	t refuse	es to give number			
1	1 ->				•			not have a teleph			
	→						•			•	<u>.</u>
	→					4. (Othe	r tele	ohone	number (optiona	al):
	→									` .	
	_										
5.		of all co				II out					_
	Date dd/mm	Day of the	Time 24 hr	Mode		ioit				contact attempt	į.
	uu/IIIII	week: 1	clock	1 = per 2 = tele		1511			ted interv nterview	riew	
		(Monday) - 7 (Sunday)		3 = per	sonal v					dentified person	
		/ (Guilday)		but only $4 = \inf_{x \in A} a_x$						et respondent but NO i	
				survey					with som act at all	nebody other than targe	t respondent
				5 = oth			_		act at all		
										n about sample unit	
1	/		:								
2	/		•								
3	,										
3	/		:								
4	/		:								
	/ /		:								
4	/ / /		:								
4 5	/ / /		: : : : : : : : : : : : : : : : : : : :								
4 5 6	/ / / /		: : : : : : : : : : : : : : : : : : : :								
4 5 6 7 8 9	/ / / / /		: : : : : : : : : : : : : : : : : : : :								
4 5 6 7 8	/ / / / / /		•								
4 5 6 7 8 9 10	/ / / / /		:								
4 5 6 7 8 9 10	•	based or	: : n resul			t atte	mpt			Notes about ti	ming (optional)
4 5 6 7 8 9 10 Nex	sult = 1, 2	2 or 6	: : n resul	Go to	N1					Notes about ti	ming (optional)
4 5 6 7 8 9 10 Nex Res	•	2 or 6	n resul		N1 6 (ou	utcom	e cor	,		Notes about ti	ming (optional)



THE HOUSEHOLD SELECTION PROCEDURE

A1. How mar	ny households are the	re at the address?	(treat as occupied if you are not sure)
Enter the	exact number:		
If one house	hold	→ Go to A4	
If between 2	and 12 households	→ Go to A2	
If more than	12 households	→ Go to A3	
A2. List in detaIf numbOtherwi Look at the set	and 12 households: ail, all households at this acceed, then list in flat or rocise, start from bottom to to election label on p.1: DN//HH ROW: Find numbe	om number order. Op of the building, left	,
			ouseholds is selected household
	r. Tick on grid below.		
No of households	Description	of household	Tick the household that is selected
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
A3. Number of list the househ Enter the r → Go to A4. ALL: Giv	olds there. number of the selected HF A4	ected household u	Interviewer Training Manual. You can unit (number, names,) and
		<u> </u>	
	Seek contact with re	snonsible adult at t	he selected unit and
	TRY TO PERFORM THE	=	



THE RESPONDENT SELECTION PROCEDURE

B1. The respondent selection procedure was made at visit number:
B2. Ask: Including yourself, how many people aged 15 or over live in this house/flat/part of the accommodation?
Enter the exact number:
If one person only → This is the respondent, start the interview
Otherwise → Go to B3
B3. Which one of you <u>last</u> celebrated his/her birthday?
Record full name of the selected person on the first page of the contact form.
ASK FOR THE SELECTED PERSON AND INTRODUCE THE SURVEY → FILL IN RESULT AT Q5, p.1 AND CONTINUE CONTACT FORM
FILE IN NEODEL AT 40, P.1 AND CONTINUE CONTIACT TONIS



ESS DOCUMENT DATE 28/05/2018 ESS9 Address Samples using Birthday

6. Outcome of contact attempt (6. Outcome of contact attempt (choose one per contact attempt) ONLY IF result of contact attempt was 3, 4,5 or 8										
		Attempt									
		1	2	3	4	5	6	7	8	9	10
An appointment was made GO TO	→ N1	01	01	01	01	01	01	01	01	01	01
Refusal of target respondent	→ 7	02	02	02	02	02	02	02	02	02	02
3. Refusal by proxy (family, acquaintance)	→ 7	03	03	03	03	03	03	03	03	03	03
4. Someone refused, not sure if target respondent	→ 7	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4	0 4
5. Respondent is unavailable/not at home until	→ N1	05	05	05	05	05	05	05	05	05	05
/	7 IVI	/	/	/	/	/	/	/	/	/	/
6. Mentally/physically unable/ill/sick (short term and	→ N1	06	06	06	06	06	06	06	06	06	06
therefore could revisit during the fieldwork period)	7 141							0.0			
7. Mentally/physically unable/ill/sick (long term and											
would be unable to complete interview during the	→ N1	07	07	07	07	07	07	07	07	07	07
fieldwork period)											
8. Respondent is deceased	→ END	0 8	0 8	0 8	08	08	08	0 8	0 8	0.8	08
Respondent moved abroad	→ END	09	09	09	09	09	09	09	09	09	09
10. Respondent moved, unsure whether abroad	→ END	0 10	O 10	0 10	O 10	0 10	O 10	O 10	O 10	0 10	O 10
11. Respondent moved within country	→ 13	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11
12. Language barrier	→ 6b	0 12	0 12	0 12	0 12	0 12	O 12	O 12	0 12	0 12	O 12
13. Other:	→ N1	O 13	0 13	O 13	0 13						

6b	In case of language barrier:	What is the	language of	the respondent	?
				→ N1	



	tions to answer ONLY IF outcome of contact attempt (Q6) was	s 2, 3 c	r 4 (re	fusal)
	which contact attempt did the refusal occur?			
(Ched	ck the contact attempt on p. 1, start in the left column)			
0 \A/L	at are the reasons mentioned for the refusal? (code all that apply)			
o. vv ii	at are the reasons mentioned for the refusal? (code all that apply) It is bad timing (otherwise engaged, sick children, visitors,)			
2	I am not interested	□ 1	□ 1	□ 1
3	I don't know enough about this topic, too difficult for me	□ 2	□ 2	□ 2
4	This is a waste of time	□ 3	□ 3	□ 3
		□ 4	□ 4	□ 4
5	This is a waste of money	□ 5	□ 5	□ 5
6	The survey interferes with my privacy / I give no personal information	□ 6	□ 6	□ 6
7	I never do surveys	□ 7	□ 7	□ 7
8	I have already co-operated in surveys too often	□ 8	□ 8	□ 8
9	I do not trust surveys	□ 9	□ 9	□ 9
	I have had bad experiences before with surveys	□ 10	□ 10	□ 10
	I don't like the subject	□ 11	□ 11	□ 11
	My partner/family/household members do not give approval	□ 12	□ 12	□ 12
	I do not admit strangers to my house, I am afraid to let them in	□ 13	□ 13	□ 13
14	Other:	□ 14	□ 14	□ 14
	ow likely do you think that future co-operation from the target andent is? (choose only one)			
1espc	Target respondent will DEFINITELY NOT co-operate in the future	01	01	01
2	Target respondent will PROBABLY NOT co-operate in the future			
3	Target respondent may PERHAPS co-operate in the future	02	02	02
4	Target respondent WILL co-operate in the future	03	03	03
5	No contact with target respondent	04	04	04
8	Don't know	O 5 O 8	O 5 O 8	O 5 O 8
	Bontanow	0.6	0.6	0.6
10. H	ow old do you think the person who made the refusal is?			
1	Under 20	01	01	01
2	20 up to 39	O 2	02	02
3	40 up to 59	O 3	03	03
4				
	60 or over			
8	•	O 4 O 8	O 4 O 8	O 4 O 8
	60 or over Don't know	0 4	0 4	0 4
11. W	60 or over Don't know that is the gender of the person who made the refusal?	O 4 O 8	O 4 O 8	O 4 O 8
11. W	60 or over Don't know hat is the gender of the person who made the refusal? Male	O 4 O 8	O 4 O 8	O 4 O 8
11. W	60 or over Don't know that is the gender of the person who made the refusal?	O 4 O 8	O 4 O 8	O 4 O 8

→ Go to N1



Question to answer ONLY IF result of contact attempt (Q5) was 7 (invalid address)

12. Why do you consider the address invalid?

1. The house/address is derelict or demolished	01
2. The home is not yet built or not ready for occupation	02
3. The address is not occupied (empty, second home, seasonal home,)	03
4. The address is not residential: only purpose is business, industrial	0 4
5. The address is not residential: institution (retirement home, hospital, military unit,	05
monastery,)	
6. The address is not traceable. The information I was given is insufficient	06
7. Other:	07

→ END

Question to answer ONLY IF outcome of contact attempt (Q6) was 11 (moved)

13. What is the respondent's new address?

O 1. The new address is:			→ 14
Street:	Number:	Box:	7 14
City:	City code:		
State/county:	Country:		
O 2. The respondent has moved to an institution.			→ END

14. Is the new address still in your interviewing area?

O 1. Yes	→ Skip Form about the home and neighbourhood (N1 onwards) for the moment. Try to reach the target respondent at their new address (and register this as a new contact attempt on this contact form) and then continue to complete the Form about the home and neighbourhood for the new address.
O 2. No	→ END Form about the home and neighbourhood (N1 onwards) to be completed by interviewer who is re-assigned the new address.



Form about the home and neighbourhood

To be filled out only <u>once</u> per sample unit

- Fill out during first visit (if possible)
- Fill out during daylight wherever possible
- Fill out regardless of the contact outcome code (so: for all interviews, all noncontacts, all refusals, and all other types of nonresponse)

Answer these questions by only looking at the house/building itself.

N1. What type of house does the (target) respondent live in? (choose only one)

	0	1.	Farm: premises used not only for living but also for rearing animals and/or growing
			crops
Single-unit	0	2.	Detached house : home for typically one household that shares no walls with other buildings
ngle	0	3.	Semi-detached house: home connected to another building on one side
Si	0	4.	Terraced house: home connected to another building on both sides
	0	5.	The only housing unit in a building with another purpose, e.g. commercial or office
nit	0	6.	Multi-unit house, flat
Multi-unit	0	7.	Student apartments, rooms: rented out specifically to students
Mc	0	8.	Nursing / retirement home: a building specifically for people in need of care
L	0	9.	House-trailer, boat
Other	0	10.	Other, specify:
	0	88.	Don't know

N2. Before reaching the (target) respondent's individual door, is there an entry phone system or locked gate/door? (record whether there is a gate/door that is locked at the time that the Form about the home and neighbourhood is completed)

		<u> </u>
0	1.	Yes: an entry phone system
0	2.	Yes: a locked gate or door
0	3.	Yes: an entry phone system AND a locked gate or door
0	4.	No: neither of these

N3. What is the overall physical condition of this house/building?

(based on problems with roof, windows, walls, guttering, etc. as explained in your interviewer manual)

0	1.	Very good
		Good
0	3.	Satisfactory
0	4.	Bad
0	5.	Very bad



Answer these questions looking at the house/building plus the space of 15 metres to the left and to the right (= about 2 normal-sized houses on each side)

N4. In the immediate vicinity, how much litter and rubbish is there?

(compare the amount to the pictures in your interviewer manual)

0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none

N5. In the immediate vicinity, how much vandalism and graffiti is there?

(compare the amount to the pictures in your interviewer manual)

(J
0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none



	ESS F	Round 9	Conta	ct For	m - A	ddre	ss sa	ample	es usi	ng	Kish (ADD_k	(ISH)	ı	
Н	ousehol	d ID:													-
S	election l	_abel:													
	erson / HH		n househ	nold)											
2	3 4	1 5	6 7	8	9	10	11	12							
	elect row (S			•	_	•									
2	1 3	3 2	4 7	6	5	8	4	1	_						
<u>1</u> .	. Sample	unit lab	el: Add	dress				Res	pond	ent	: ID				<u>-</u>
								Res	pond	ent	's nam	e			_
_	lutam da					2 5	.		414-	-1-					J
	. Intervie									eie	phone	numb	er		7
	fill out at tempt numb		ewer num	her				er is:			to give	numb	or		
H-	•	intervi	CWCI Hall				-				to give				
1	<u>→</u>					UK	espc	nuei	i does) IIC	nave	a telep	лопе	;	
	→					4 (14 h o	<u> </u>	nhone		umbar	(antia	براره م		
	→					4. (Jine	rteie	pnone	<i>:</i> 110	umber	(optio	nai):		7
	7														
5.	. Record	of all co	ntact a	attemp	ots (fi	ll out	one i	row p	er atte	emp	ot)				_
5.	. Record Date			ttemp Mode		ll out					,	attem	pt		_
5.	. Record Date	Day of the	Time 24 hr	Mode 1 = per	sonal v		RE	SUL	Γ of th	e c	ontact	attem	pt		
5.	Date	Day of the week: 1	Time	Mode 1 = per 2 = tele	sonal v	risit	1 = 2 =	SUL Comple	of the ted inte	rviev	ontact w		pt		
5.	Date	Day of the	Time 24 hr	Mode 1 = per 2 = tele 3 = per	sonal vephone	risit risit,	1 = 2 = 3 =	SUL Complete Partial Contact	F of the ted intended interview the tended in the tended i	rviev nider	contact w	son			
5.	Date	Day of the week: 1 (Monday) -	Time 24 hr	Mode 1 = per 2 = tele	sonal verbone sonal verbonal v	risit risit, om	1 = 2 = 3 = 4 =	Complete Partial Contact Contact	F of the ted inte Interview t with unterview t with tare	rviev v nider rget	contact w ntified pers responde	son nt but No	O interv		ent
5.	Date	Day of the week: 1 (Monday) -	Time 24 hr	Mode 1 = per 2 = tele 3 = per but only 4 = info survey	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 =	Complete Partial Contact Contact Contact No con	of the eted interview the twith uniterview the twith tark the twith so tact at a	rview w nider rget omek	contact w	son nt but No	O interv		ent
5.	Date	Day of the week: 1 (Monday) -	Time 24 hr	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
	Date	Day of the week: 1 (Monday) -	Time 24 hr	Mode 1 = per 2 = tele 3 = per but only 4 = info survey	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	contact w ntified pers responde	son nt but No than tar	O interv		ent
1	Date	Day of the week: 1 (Monday) -	Time 24 hr	Mode 1 = per 2 = tele 3 = per but only 4 = info survey	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1 2	Date	Day of the week: 1 (Monday) -	Time 24 hr	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1	Date	Day of the week: 1 (Monday) -	Time 24 hr clock	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1 2 3	Date	Day of the week: 1 (Monday) -	Time 24 hr clock	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1 2 3 4 5 6	Date	Day of the week: 1 (Monday) -	Time 24 hr clock	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1 2 3 4 5	Date	Day of the week: 1 (Monday) -	Time 24 hr clock	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1 2 3 4 5 6 7 8	Date	Day of the week: 1 (Monday) -	Time 24 hr clock	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1 2 3 4 5 6 7 8 9	Date	Day of the week: 1 (Monday) -	Time 24 hr clock	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1 2 3 4 5 6 7 8	Date	Day of the week: 1 (Monday) -	Time 24 hr clock	1 = per 2 = tele 3 = per but only 4 = info	sonal vephone sonal vephone sonal vephone through	risit risit, om gh	1 = 2 = 3 = 4 = 5 = 6 = 7 =	Complete Partial Contact Contact Contact No con Invalid	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	w ntified pers responde body other	son nt but No than tar	O interv		ent
1 2 3 4 5 6 7 8 9	/ / / / / / / / / / / / / / / / / / /	Day of the week: 1 (Monday) - 7 (Sunday)	Time 24 hr clock	Mode 1 = per 2 = tele 3 = per but only 4 = info survey 5 = othe	ssonal verphone sonal	risit, om gh sation	RE 1 = 2 = 3 = 4 = 5 = 6 = 7 = 8 =	Comple Partial Contact Contact Contact No con Invalid Other in	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	eontact w ntified pers responde body other bout samp	son nt but No than tar	O interveget res	sponde	
1 2 3 4 5 6 7 8 9	/ / / / / / xt steps	Day of the week: 1 (Monday) - 7 (Sunday)	Time 24 hr clock	Mode 1 = per 2 = tele 3 = per but only 4 = info survey 5 = othe	sonal verblone sonal	risit, om gh sation	RE 1 = 2 = 3 = 4 = 5 = 6 = 7 = 8 =	Comple Partial Contact Contact Contact No con Invalid Other in	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	eontact w ntified pers responde body other bout samp	son nt but No than tar	O interveget res	sponde	ent
1 2 3 4 5 6 7 8 9 10	/ / / / / / / / / / / / / / / / / / /	Day of the week: 1 (Monday) - 7 (Sunday) based or 2 or 6	Time 24 hr clock	Mode 1 = per 2 = tele 3 = per but only 4 = info survey 5 = othe	sonal verblone sonal verblone sonal verblone sonal verblone sonal verblone or through organiser	risit risit, om gh sation	1 = 2 = 3 = 4 = 5 = 6 = 7 = 8 =	Comple Partial Contac Contac Contac No con Invalid Other in	of the eted interview the twith tart with so tact at a address	rviev v nider rget omek	eontact w ntified pers responde body other bout samp	son nt but No than tar	O interveget res	sponde	



THE HOUSEHOLD SELECTION PROCEDURE

A1. How many households are there at the address? (treat as occupied if you are not sure)

IF between 2 and 12 households: A2. List in detail, all households at this address. This must be done If numbered, then list in flat or room number order. Otherwise, start from bottom to top of the building, left to right Look at the selection label on p.1: PERSON//HH ROW: Find number of corresponding total number. Tick on grid below. No of Description of household Nouseholds 1 2 3 4 5 6 7	nt and front to back. mber of households.
 PERSON//HH ROW: Find number of corresponding total number. SELECT ROW: Number beneath the total number of househouseholds. No of Description of household households 1 2 3 4 5 6 7 	Tick the household that
households 1 2 3 4 5 6 7	
1 2 3 4 5 6 7	13 Selected
2 3 4 5 6 7	
3 4 5 6 7	
4 5 6 7	
6 7	
7	
8	
9	
10	
11	
12	
→ Go to A4	
IF more than 12 households A3. Number of HH to be selected is in the look-up table in the Interlist the households there. Enter the number of the selected HH:	viewer Training Manual. You car
Enter the number of the selected HH:	
→ Go to A4	
A4. <u>ALL</u> : Give details about the selected household unit (change the address label on the first page accordingly	· · · · · · · · · · · · · · · · · · ·
Seek contact with responsible adult at the se	

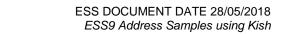


THE RESPONDENT SELECTION PROCEDURE

Enter the exact numbe	r:		
f one person only f 2-12 persons f more than 12 persons	→ Go to B3		
33_a. Make a list of all pe descending order o		han 15 living in the household.	List them in
First name or initials	No.	First name or initials	No.
	□ 1		□ 7
	□ 2		□ 8
	□ 3		□ 9
	□ 4		□ 10
	□ 5		□ 11
	□ 6		□ 12
	el on p.1:	of corresponding total number of i	ndividuals.
 Look at the selection labe PERSON/HH ROW: SELECT ROW: Numnumber. Tick on abo → Go to B4 	Find number on ber beneath the	ne total number of persons is sele	
 PERSON/HH ROW: SELECT ROW: Num number. Tick on about → Go to B4 IF more than 12 persons	Find number on the power grid. be selected is	ne total number of persons is sele	ected person

B4. ALL: Record full name of the selected person on the first page of the contact form.

ASK FOR THE SELECTED PERSON AND INTRODUCE THE SURVEY
→ FILL IN RESULT AT Q5, p.1 AND CONTINUE CONTACT FORM





6. Outcome of contact attempt (choose one per contact attempt) ONLY IF result of contact attempt was 3, 4,5 or 8									,5 or 8		
		Attempt									
		1	2	3	4	5	6	7	8	9	10
1. An appointment was made GO TO -	→ N1	01	01	01	01	01	01	01	01	01	01
2. Refusal of target respondent	→ 7	02	02	02	02	02	02	02	02	02	02
3. Refusal by proxy (family, acquaintance)	→ 7	03	03	03	03	03	03	03	03	03	03
4. Someone refused, not sure if target respondent -	→ 7	0 4	0 4	0 4	0 4	04	0 4	0 4	0 4	0 4	0 4
5. Respondent is unavailable/not at home until	→ N1	05	05	05	05	05	05	05	05	05	05
/	/ IN I	/	/	/	/	/	/	/	/	/	/
6. Mentally/physically unable/ill/sick (short term and	→ N1	06	06	06	0.6	06	06	0.6	0.6	06	06
therefore could revisit during the fieldwork period)	/ IN I						0 0				0.0
7. Mentally/physically unable/ill/sick (long term and											
would be unable to complete interview during the	→ N1	07	07	07	07	07	07	07	07	07	07
fieldwork period)											
8. Respondent is deceased -	→ END	0 8	0 8	0 8	0 8	0 8	0 8	0 8	0 8	0.8	0 8
9. Respondent moved abroad → END			09	09	09	09	09	09	09	09	09
10. Respondent moved, unsure whether abroad → END			O 10	0 10	O 10						
11. Respondent moved within country	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	0 11	
12. Language barrier -	O 12	0 12	0 12	0 12	O 12	O 12	0 12	O 12	0 12	O 12	
13. Other: -	O 13	O 13	O 13	O 13	O 13	O 13	O 13	0 13	O 13	O 13	

6b	In case of language barrier	: What is the language of the respondent?
		→ N1



Questions to answer ONLY IF contact outcome code (Q6) was 2, 3 or 4 (refusal)							
7. At which contact attempt did the refusal occur?							
(Checl	k the contact attempt on p. 1, start in the left column)						
8. Wł	nat are the reasons mentioned for the refusal? (code all that						
apply)	•						
1	It is bad timing (otherwise engaged, sick children, visitors,)	□ 1	□ 1	□ 1			
2	I am not interested	□ 2	□ 2	_ ·			
3	I don't know enough about this topic, too difficult for me	□ 3	□ 3	□ 3			
4	This is a waste of time	□ 4	□ 4	□ 4			
5	This is a waste of money	□ · □ 5	□ . □ 5	□ 5			
6	The survey interferes with my privacy / I give no personal information	□ 6	□ 6	□ 6			
7	I never do surveys	□ 7	□ 7	□ 7			
8	I have already co-operated in surveys too often	□ 8	□ 8	□ 8			
9	I do not trust surveys	□ 8	□ 6	□ 9			
10	I have had bad experiences before with surveys	□ 9 □ 10	□ 9 □ 10	□ 9 □ 10			
11	I don't like the subject	□ 10 □ 11		□ 10 □ 11			
12	My partner/family/household members do not give approval		□ 11 □ 12				
13	I do not admit strangers to my house, I am afraid to let them in	□ 12	□ 12	□ 12			
14	Other:	□ 13		□ 13			
		□ 14	□ 14	□ 14			
	Target respondent will DEFINITELY NOT co-operate in the future Target respondent may PERHAPS co-operate in the future Target respondent WILL co-operate in the future Target respondent will probability not contact with target person Don't know	O 1 O 2 O 3 O 4 O 5 O 8	O 1 O 2 O 3 O 4 O 5 O 8	0 1 0 2 0 3 0 4 0 5 0 8			
10. H	ow old do you think the person who made the refusal is?						
1	Under 20	0 1	0 1	01			
2	20 up to 39	02	02	0 2			
3	40 up to 59	03	03	03			
4	60 or over	04	04	04			
8	Don't know	0 8	0 8	0 8			
11. W	hat is the gender of the person who made the refusal? Male	01	01	01			
2	Female	02	02	02			
8	Don't know	08	08	08			
)))			

→ Go to N1



Question to answer ONLY IF result of contact attempt (Q5) was 7 (invalid address)

12. Why do you consider the address invalid?

The house/address is derelict or demolished	01
2. The home is not yet built or not ready for occupation	02
3. The address is not occupied (empty, second home, seasonal home,)	03
4. The address is not residential: only purpose is business, industrial	0 4
5. The address is not residential: institution (retirement home, hospital, military unit,	05
monastery,)	
6. The address is not traceable. The information I was given is insufficient	06
7. Other:	07

→ END

Question to answer ONLY IF outcome of contact attempt (Q6) was 11 (moved)

13. What is the respondent's new address?

			T
O 1. The new address is:			→ 14
Street:	Number:	Box:	
City:	City code:		
State/county:	Country:		
O 2. The respondent has moved to an institution.			→ END

14. Is the new address still in your interviewing area?

O 1. Yes	→ Skip Form about the home and neighbourhood (N1 onwards) for the moment. Try to reach the target respondent at their new address (and register this as a new contact attempt on this contact form) and then continue to complete the Form about the home and neighbourhood for the new address.
O 2. No	→ END Form about the home and neighbourhood (N1 onwards) to be completed by interviewer who is re-assigned the new address.



Form about the home and neighbourhood

To be filled out only <u>once</u> per sample unit

- Fill out during first visit (if possible)
- Fill out during daylight wherever possible
- Fill out regardless of the contact outcome code (so: for all interviews, all noncontacts, all refusals, and all other types of nonresponse)

Answer these questions by only looking at the house/building itself.

N1. What type of house does the (target) respondent live in? (choose only one)

	0	1.	Farm: premises used not only for living but also for rearing animals and/or growing
Single-unit	0	2.	Detached house: home for typically one household that shares no walls with other buildings
ngle	0	3.	Semi-detached house: home connected to another building on one side
Si	0	4.	Terraced house: home connected to another building on both sides
	0	5.	The only housing unit in a building with another purpose, e.g. commercial or office
nit	0	6.	Multi-unit house, flat
Multi-unit	0	7.	Student apartments, rooms: rented out specifically to students
Mu	0	8.	Nursing / retirement home: a building specifically for people in need of care
	0	9.	House-trailer, boat
Other	0	10.	Other, specify:
	0	88.	Don't know

N2. Before reaching the (target) respondent's individual door, is there an entry phone system or locked gate/door? (record whether there is a gate/door that is locked at the time that the Form about the home and neighbourhood is completed)

		<u> </u>
0	1.	Yes: an entry phone system
0	2.	Yes: a locked gate or door
0	3.	Yes: an entry phone system AND a locked gate or door
0	4.	No: neither of these

N3. What is the overall physical condition of this house/building?

(based on problems with roof, windows, walls, guttering, etc. as explained in your interviewer manual)

0	1.	Very good
0	2.	Good
0	3.	Satisfactory
0	4.	Bad
0	5.	Very bad



Answer these questions looking at the house/building plus the space of 15 metres to the left and to the right (= about 2 normal-sized houses on each side)

N4. In the immediate vicinity, how much litter and rubbish is there?

(compare the amount to the pictures in your interviewer manual)

0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none

N5. In the immediate vicinity, how much vandalism and graffiti is there?

(compare the amount to the pictures in your interviewer manual)

(001	πραι	e the amount to the pictures in your interviewer manda
0	1.	A very large amount
0	2.	A large amount
0	3.	A small amount
0	4.	None or almost none