ESS5 – European Social Survey Round 5 Year 1 Central Coordination -
Monitoring social and political change in Europe

End of Year 1 Report

Period covered: from 01/06/2009 to 30/06/2010
Date of preparation: 22/09/10
Start date of project: 01/06/2009  
Duration: 13 months

Project coordinator name: Professor Roger Jowell
Project coordinator organisation name: City University London
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Executive Summary

Project Objectives & Funding

The European Social Survey (ESS) is a biennial cross-national social survey that was established in 2001. The ESS has three primary objectives:

- To produce rigorous trend data at both a national and a European level about continuity and change over time in people’s social values.
- To tackle longstanding deficiencies in cross-national attitude measurement.
- To bring social indicators into consideration (alongside economic indicators) as a regular means of monitoring the quality of life across nations.

The first two rounds of the ESS were core-funded by the EC under FP5 and co-funded by the European Science Foundation (ESF) and numerous other national academic funding bodies throughout Europe. The third and fourth rounds were funded in a very similar way – the only difference being that EC funding came from FP6 and some activities that had previously been funded by the ESF were covered via an ESSi FP6 infrastructure grant. The costs of both the national survey and the National Coordinator (NC) within each participating country are borne by each country.

In the absence of a suitable call for EC funding to cover the central coordination for the fifth round of the ESS the UK ESRC undertook to secure funding from a number of European research councils and ministries to ensure the continuation of the ESS time series. Following successful negotiations this support has been provided by research councils and/or research ministries in the UK (Economic and Social Research Council), Germany (Federal Ministry of Education and Research), Sweden (Swedish Research Council), Switzerland (Swiss National Science Foundation (SNSF)), the Netherlands (Netherlands Organisation for Scientific Research), Finland (Academy of Finland, Research Council for Culture and Society), Norway (Research Council of Norway) and Austria (Federal Ministry of Economics and Labour). Supplementary funds are being provided by the European Science Foundation (ESF) for scientific liaison. City University in the UK also made a financial contribution for Year 1 of Round 5 of the ESS. The activities discussed in this report were supported by this group of funders through City University and the other partners in the Central Coordinating Team. Subsequently a successful application for funding was made to the European Commission to cover the costs of the central coordination for year 2 of Round 5 and of Round 6 (ESS-DACE). It is hoped that Round 7 will be conducted under the auspices of an ESS ERIC which a special Steering Committee is seeking to establish in time for full operations in June 2013.

Progress towards objectives in ESS Round 5 Year 1

Progress towards the overall objectives of the ESS was made during year 1 of Round 5. Progress was made towards objective 1 by designing the fifth round of the survey to facilitate the production of high quality multi-country datasets in year 2. Objective 2 was operationalised by ensuring that quality enhancement continues from round to round on the ESS. For instance all ESS protocols and methodological reports have been made publicly available to ensure a cascading effect in relation to the methodological standards set by the ESS and the wider European research community. And major enhancements to ESS methodology were prepared in year 1 of the ESS including improved educational measurements and the trailing of translation quality control verification procedures. Objective 3 was fulfilled in particular by developing indicators of trust in the police and courts. Some of these questions are to be adopted as the recommended set of European indicators on this topic by the EC funded JUSTIS project.

Partners involved in the central coordination of ESS Round 5

City University London was the coordinator for ESS Round 5 Year 1 and was responsible for overseeing the work carried out by each of the six partner institutions. Personnel from the seven organisations together constitute the Central Coordinating Team (CCT) which is convened and overseen by City. City entered into
contracts with the participants shown in the table (below) to facilitate the completion of tasks required for each of the various workpackages.

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<tr>
<th>Participant name</th>
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<th>Country</th>
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<tr>
<td>1. City University London</td>
<td>City</td>
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<tr>
<td>2. Gesellschaft Sozialwissenschaftlicher Infrastruktureinrichtungen e.V. (GESIS)</td>
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<td>5. Katholieke Universiteit Leuven</td>
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<tr>
<td>6. Norwegian Social Science Data Services</td>
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<tr>
<td>7. University of Ljubljana</td>
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</table>

**Work performed and results achieved by the end of Round 5 Year 1**

The first year of ESS Round 5 (ESS5) saw considerable organisation and planning to prepare for the start of ESS fieldwork in September 2010. The work of the ESS Central Coordinating Team is organised into a number of workpackages each charged with a different element of overseeing the ESS. Below we consider the main work performed and the results achieved by each workpackage.

- **Workpackage 1: Coordination and implementation of the multi-nation survey and overall project management (City)**
  Workpackage 1 covered the overall coordination and project management of ESS Round 5 Year 1. During the first year of the project City was responsible for maintaining the ESS infrastructure established in previous rounds and ensuring that it continues to function effectively for Round 5. This primarily involves convening and / or working with the Central Coordinating Team, National Coordinators, the Scientific Advisory Board and Funders’ Forum. By overseeing these bodies (some in consultation with the European Science Foundation) and the work of the different ESS workpackages, City University (Partner 1) has ensured that ESS5 has fulfilled its obligations to date and that the project is running according to the proposed timetable. In addition, as part of its coordination role, Partner 1 has worked with the European Science Foundation (ESF) during Year 1 to encourage countries to participate in Round 5 and monitored their progress in securing funding. As of the end of June 2010, 25 countries had formally confirmed participation in ESS Round 5. As in previous rounds this total might increase in the coming months.

- **Workpackage 2: Design, development, and process quality control (City)**
  Workpackage 2 involved the design, development and implementation of consistent survey methods, instruments and procedures whilst ensuring compliance throughout the project via process quality control. During the first year of ESS5 a major task has been the design of the questionnaire for Round 5. This has involved the revision of core items measuring education and marital status as well as the design of two rotating modules. These tasks have entailed extensive discussion with other members of the CCT, National Coordinators, the question module design teams and external specialists (in the case of education and marital status). In addition, by the end of year 1, the questionnaire for round 5 had been piloted and revisions agreed prior to distributing the questionnaire for translation in May 2010. This included items from the two rotating modules included in Round 5, which focus on ‘Trust in the Police and Courts’ and ‘Work, family and well-being’. This workpackage has also coordinated the activities of all the other workpackages to ensure that clear protocols, guidelines and documents for all stages of ESS5 were made available to national teams. For example the City team worked closely with KUL on the provision of interviewer training materials to improve interviewer coding of observational neighbourhood characteristics.

- **Workpackage 3: Sampling Coordination (GESIS)**
  During the first year of Round 5, the Sampling Panel experts were reappointed and assigned to participating countries. The sampling guidelines were revised and improved for Round 5 as was the sample design sign off form which was used to document the sample design for each country. The Sampling Panel have also spent
time initiating discussions with participating countries in order to achieve a workable sample design that is suitable for the national circumstances. For example there were detailed discussions with Russia on how to improve their sample design. By the end of the reporting period the sample designs for six countries had been agreed and many others were under consideration.

- **Workpackage 4: Translation of instruments (GESIS)**
  During the reporting period, the translation workpackage assisted City in the questionnaire design process by contributing advice on translation in several questionnaire design meetings. An advance translation was executed in two countries for the first time on the ESS in order to gather additional inter-cultural input before finalising the source questionnaire. Several key documents to assist NCs with translation were updated and released including the Translation Guidelines and the Translation Quality Check List. An external provider (cApStAn) was commissioned to conduct translation verification of a sample of items in all language versions. Verification of the first national translations started at the end of the reporting period. Towards the end of the reporting period, the ESS Translation Workpackage team started working with City to provide help to countries with translation problems. This work will continue into Year 2 of Round 5.

- **Workpackage 5: Fieldwork Commissioning (SCP)**
  At the end of the reporting period the Specification for Participating Countries in ESS Round 5 had been updated in collaboration with City. A web-based fieldwork checklist had been improved and implemented and contact had been made with almost all participating countries to discuss contracting and fieldwork procedures. This work will continue into Year 2 of Round 5.

- **Workpackage 6: Contract Monitoring (GESIS)**
  During the first year of Round 5 a number of activities associated with contract monitoring took place. Deviations in ESS Round 4 from the Specification for Participating Countries were reported back to National Coordinators (NCs) to try and improve compliance in Round 5. An improved version of the online fieldwork checklist (first used in Round 4) was issued to NCs in collaboration with SCP to help in the ‘contracting’ process with fieldwork organisations and to minimise deviations. Members of the Workpackage team had also begun discussions with a number of countries about their checklists. By the end of the reporting period, 20 of the 25 participating countries had started filling in the online questionnaire and in 12 countries the discussion process had been completed and the proposed fieldwork plans signed-off.

- **Workpackage 7: Piloting and quality control (KUL)**
  Workpackage 7 involves contribution to questionnaire design and piloting as well as quality control of the contact form data. In Round 5, Year 1, staff from KUL were involved in the question design process for the rotating modules included in the questionnaire; they also analysed data from the pilot study with particular attention to examining the constructs proposed and the relationship between them. Under this workpackage, the contact forms from Round 4 were improved for Round 5 and the accompanying instructions and documentation were also enhanced. New interviewer instructions for coding neighbourhood characteristic variables were also designed for Round 5 in collaboration with City. KUL staff also began the consultation process with national teams on the use of country-specific contact forms and the proposed methods to bridge from these into the final ESS data requirements.

- **Workpackage 8: Design and analysis of pilot studies (UPF)**
  During the grant period staff from UPF contributed to the design of the new questions for Round 5 of the survey. Advice was given by a senior member of staff on the predicted reliability and validity of draft questions. This was achieved through theoretical analysis of the questions and evaluations of the question form made using Survey Quality Predictor (SQP) program. Furthermore, split ballot MTMM experiments have been designed and fielded in the pilot study for Round 5. The data from these experiments were analysed and the results were fed back to the two question module design teams to help them improve their questions.

- **Workpackage 9: Analysis of reliability and validity of mainstage questions (UPF)**
  Staff at UPF working on Workpackage 9 designed proposals for the evaluation of some questions in the supplementary questionnaire using split-ballot MTMM experiments in collaboration with City. These were then complied to form the three versions of the supplementary questionnaires that were fielded in ESS Round 5. These experiments focused on media use, political efficacy and the use of different scales – horizontal and
A second task of Workpackage 9 has been to evaluate the translations of some of the questions for Round 5. In addition a new innovation was developed for Round 5. Each national team was provided with access to the SQP program to allow them to code their translated questionnaires and to compare the form with that in the English source questionnaire.

- **Workpackage 10: Data archiving and delivery (NSD)**
  As in previous rounds of the ESS, NSD was responsible for data archiving and distribution of the fifth wave of ESS data and documentation. The ultimate objectives of this workpackage is to process and merge data from the participating countries in ESS Round 5 and to document, archive and make the data and documentation available for free use. The work in this period (first year of ESS Round 5) has been directed towards planning and preparatory work since data itself was not available in this period. This has included different tasks such as upgrading and maintaining the ESS Archive Intranet website, control and comments on the ESS questionnaire, production of the Data Protocol and data definition files, and consultation on and sign-off of selected background variables in close collaboration with the national teams and other member of the CCT. NSD has also played a key role in designing and implementing the enhanced educational measurement in Round 5 in collaboration with City.

- **Workpackage 11: Collection of event data (SCP)**
  The main activities undertaken by the Workpackage 11 team in the first year of Round 5 have been to review, update and revise the event reporting guidelines and to make these available to the national teams. In addition, staff from SCP and City have overseen revisions to the event reporting web-tool in order to make improvements for Round 5.

- **Workpackage 12: ESS dissemination monitoring (UL)**
  In Round 5, Year 1 the main activities for this workpackage have been to obtain a more formalised and standardised picture of the dissemination activities planned by national teams and to establish which types of dissemination activities are more widespread in ESS countries and which less so. This work has involved liaison with National teams to highlight the range of possible dissemination activities available to them and actively encourage them to find new and innovative ways to promote the ESS and its data in their country.
Section 1 – Workpackages progress by the end of Year 1

Workpackage 1: Coordination and implementation of a multi-nation survey

Responsibility: City

Workpackage objectives and starting point of work at beginning of reporting period

Ensure the delivery of central preparations over the first year (June 2009 – 30 June 2010) of the fifth round of a 20-30 nation European-wide social survey carried out to exacting standards and according to timetable.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

The overall coordination of the project is the responsibility of the central coordinating group within the Centre for Comparative Social Surveys (CCSS) City University London (City), headed by the Principal Investigator (PI) Professor Roger Jowell and supported by Rory Fitzgerald (CCSS Deputy Director), Sally Widdop and Mary Keane. Other members of the CCSS team provided supporting contributions namely Eric Harrison, Lorna Ryan and Peter Martin. This team is collectively responsible for the range of tasks associated with meeting the objectives of WP1, including:

- Leadership of project, responsibility for adherence to timetable and for overall budget and contract matters
- Assembling and coordinating up to 30 national project teams and two question module design teams
- Arranging and accounting for plenary sessions, board meetings and specialist meetings throughout the project
- Production of a final report for the contract covering the central coordination of the first year of ESS Round 5

These tasks have all been completed through close liaison with the other six partners who make up the Central Coordinating Team - CCT, as well as with the numerous other bodies which have been set up to ensure the smooth running of the project. A crucial element of the coordination workpackage within the first year of round 5 has been to maintain and refresh the infrastructure necessary for the project’s continued success. This includes collaboration with the groups established to provide advice and guidance, as well as working groups with specific roles within the various workpackages. These different groups are outlined below.

1. The participating countries: in conjunction with the ESF, City worked closely with various Research Councils to try and ensure the highest European coverage possible in each round. By the end of Year 1, 25 countries had confirmed their participation in ESS Round 5. Table 1 (overleaf) shows the countries that have participated in each round of the ESS to date as well as those that have confirmed participation in Round 5.

2. The CCT constitutes the central management group for the ESS. As well as the specified and self-contained responsibilities of the individual partners, the group collectively ensures the achievement of uniformly high standards within all participating countries. The CCT met four times during Round 5 Year 1 to report on progress within individual workpackages, to plan coordination with other CCT partners and to discuss the strategic development of the project as a whole. Partner 1 (City) organised all CCT meetings as well as planning the agenda, preparing and collating papers, minuting the meetings and generally hosting them. All meetings are chaired by the PI or in his absence by the Deputy Director of the Centre for Comparative Social Surveys.

3. The Scientific Advisory Board (SAB), chaired by Professor Max Kaase of the International University, Bremen, consists of one representative from each participating country (selected by the principal funding agency in that country), plus representatives from the European Commission and the ESF. Some senior members of the CCT are also present at SAB meetings. The SAB is important not just for providing scientific advice and guidance but also for liaison and intervention within participating countries. The SAB makes an

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1 For details on the membership of all groups, see Annex 1.
important contribution to critical aspects of the project. In this period for instance they approved suggestions for altering the timing of the release of the Call for Question Design Teams for Round 6 and provided guidance on measures aimed to reduce the length of the ESS interview. The SAB met three times during this period (in November 2009, March 2010 and June 2010). Funding for the meeting costs of the SAB is provided by the ESF but some CCT members covered their costs from this grant. City supports the ESF for all SAB meetings by planning the agenda, preparing and collating papers and providing feedback on the minutes.

Table 1 ESS Participation Rounds 1-5

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<th>Country</th>
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Notes: - = country has not confirmed participation yet

4. The Funders’ Forum consists of one representative from the principal funding agency of each participating country. This body aims to ensure that participating countries can fund their fieldwork and national coordination (which is not covered at all by central coordination funds). The costs of fieldwork and national coordination are the largest financial component of the project. The Funders’ Forum has met once during this
period and a joint Funders Forum/SAB Meeting was held in June 2010. City prepares the agenda and papers for these meetings.

5. The Methods Group, chaired by Denise Lievesley Head of School of Social Science and Public Policy at Kings College London, consists of five members from different countries, chosen by virtue of their survey and statistical expertise. The Methods Group have met once during this period and will meet again in Year 2 (in September 2010).

6. The National Coordinators are appointed by the funding agency in each participating country to head the team that will carry out the national survey. There were two National Coordinator meetings in this period, at which general information about the project as well as improvements for Round 5 of the survey – such as verification, SQP coding and changes to marital status instrument - were discussed. Costs for NCs to attend these meetings were met from the EC FP6 ESSi Infrastructure grant but preparation time on substantive issues was covered by this grant.

7. The Sampling Panel is convened by Matthias Ganninger (GESIS) (replacing Sabine Häder who held the position until May 2010) and is made up of five experts in the field. Responsibility for providing advice and guidance on sampling to the participating countries has been divided between the members, each working directly with several countries. The members of the Panel liaise regularly with individual countries and assist with sample design issues and specific problem areas. In addition to their staff time travel costs for the sampling panel were met by this grant.

8. Two Question Module Design Teams for Round 5 were selected by the SAB in March 2009. The subjects chosen for the fifth round were: “Trust in the Police & Courts: A comparative European analysis” and “Work, family and well-being: The implications of economic recession”. Both modules consisted of 45 items. The question design teams met with the CCT questionnaire design sub-group four times during Round 5 Year 1. Travel costs for the teams to attend meetings with the CCT were met by this grant.

Deviations from the project work programme and corrective actions taken / suggested

This workpackage has no deviations to report.

List of deliverables

1.1 All central preparations for fieldwork for the first year of ESS Round 5 (completed)
1.2 A report summarising progress and activities conducted during the first year (this report)

List of milestones

- By month 12 – majority of national teams assembled; meetings with questionnaire design teams, CCT, National Coordinators and other plenary groups conducted (milestone reached).
Workpackage 2: Coordination and implementation of a multi-nation survey

Responsibility: City

Workpackage objectives and starting point of work at beginning of reporting period

Design and implement a combination of consistent and equivalent survey methods, instruments and procedures in 30 nations and ensure compliance throughout.

Oversee commissioning of 2 nation pilot fieldwork.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

City’s second workpackage includes the following components:

- Oversee the specified tasks allocated to all CCT partners and the national teams.
- Assess equivalence of procedures and standards and remedy deviations, giving practical assistance where necessary.
- Oversee the process of questionnaire design.
- Design and issue the tender for survey organisations to conduct quantitative pilot fieldwork in the UK and one other ESS participating country and to select the most suitable agency / NC team.
- Prepare all field documents for the quantitative pilot study and oversee its effective execution.

1. Oversee the specified tasks allocated to all CCT partners and the national teams

City was responsible for overseeing the work of all partners and national teams during the period of this contract. The details of all workpackages were discussed and determined at CCT meetings and between the coordinating institution and the workpackage leader between meetings. In order to improve communication between NCs and the CCT an area for storing all important documentation for Round 5 was set up in the password protected area of the ESS website (see Deliverable 2.1). This helped to ensure effective version control and improved communication during preparations for fieldwork in Round 5.

2. Assess equivalence of procedures and standards and remedy deviations, giving practical assistance where necessary.

The Coordinator has ultimate responsibility for ensuring equivalence of standards and procedures throughout the survey, dealing with any difficulties that arise in individual countries. This often involves negotiating a delicate balance between maintaining strict comparability and allowing flexibility between countries. There have been instances where participating countries have asked to be allowed to deviate from the ESS Project Specifications, in order to accommodate their local situation. For example, legal restrictions in Sweden meant that it was not possible for observable area and dwelling data to be collected in round 5 as required. On other occasions the decision is made not to approve a deviation for instance in Germany where a proposal was submitted to exclude a rotating module to reduce interview length.

Many further issues involving individual countries have either been dealt with by City or by specific groups, whose workpackage covers that issue. This sometimes includes visits to participating countries to assist with specific matters such as sampling, contracting and translation. For example, the City team sat on the Irish NC steering committee to provide guidance and input at key stages. The CCT also provided guidance on problems such as proposals to amend existing translations of core questions (France, Switzerland) and difficulties implementing the effective sample size (Slovakia).

3. Oversee process of questionnaire design

Within the first year, of ESS Round 5 a major element of this workpackage was overseeing the process of questionnaire design for Round 5. The questionnaire consists of a ‘core’ module lasting about half an hour – which remains relatively constant from round to round – plus two ‘rotating’ modules, each of which focuses on a substantive topic or theme.
Changes to the core
During Round 5, Year 1, work was undertaken to improve the questions in the core questionnaire for measuring marital/partnership status and education, which had in previous rounds performed sub-optimally. The marital status questions required substantial amendments to the socio-demographics section of the core questionnaire itself whilst the education issues involved more careful consideration of the links between country-specific questions and ESS code frames.

In addition, because of concerns about interview length, a rotation strategy was agreed with the SAB for Round 5 whereby 12 items from the core module were removed – with the intention of reinstating them in a future round. The items dropped for Round 5 focussed on politics and European unification (3 items), terrorism (4 items), highest subject of education qualification (1 item), partner’s work (2 items) and mixed mode monitoring items (2 items).

As in previous rounds a number of other small changes also needed to be made to the core questionnaire such as deleting items from the previous rotating modules and improving the layout. As in previous rounds a document detailing changes between the most recent and current round was produced.

Rotating modules
The two rotating modules selected for inclusion in Round 5 were designed during Year 1. These modules covered ‘Trust in the Police and Courts’ and ‘Work, family and well-being’. In order to facilitate an improved structure of the design of the modules and to help document the design process, the questionnaire development template produced for Round 4 (Fitzgerald, 2007) was also utilised in Round 5. The template was used to aid communication between the QDT and CCT design questionnaire subgroup throughout each stage of module design. Populating and updating the template was an intensive process. The CCT subgroup provided expert advice including SQP analysis from Willem Saris, advice on scaling from Jaak Billiet and advice on translation issues provided by GESIS with overall coordination and quality control provided by the City team. In November 2009 a draft of both modules was made available to National Coordinators for their detailed comments and extensive discussion between the QDTs and NCs took place at an NC meeting in Mannheim. In conjunction with both the CCT and National Coordinators, the teams then produced a version of their modules for piloting (for more on the pilot tendering process see point 4 below). Following the pilot, the data were analysed and the modules revised. Members of the CCT and the National Coordinators had a final chance to comment on the modules at a meeting in May 2010 before the questionnaire for Round 5 was finalised.

4. Tender for the selection of survey organisations to conduct pilot fieldwork in the UK and one other ESS participating country. Oversight of the piloting process

City was responsible for designing and issuing the tender specification to conduct pilot fieldwork for ESS Round 5. As the fieldwork needed to be carried out in an English speaking country and a non-English speaking country invitations to tender were sent to five survey agencies based in the UK and Ireland. In addition National Coordinators in Bulgaria and Estonia were also asked to tender to carry out the pilot in their country in collaboration with a suitable survey agency. The UK and Ireland fieldwork agencies all had a good reputation for conducting similar surveys and in the non-English speaking countries it was essential that a well established collaborator from within the ESS network could be selected to work through. Invitations to tender were sent on 14 October 2009 and the two successful agencies were appointed in November 2009. Ipsos-MORI was selected to conduct the pilot fieldwork in the UK and the Agency for Social Analyses (ASA) was appointed to conduct the survey in Bulgaria.

5. Oversight of the pilot

City was also responsible for preparing the fieldwork documents that were to be used in the Round 5 Pilot. This included drafting the main pilot questionnaire and showcards as well as the supplementary questionnaire (all of which were subsequently translated by the Bulgarian team). Other documents included the project

instructions; data protocol; debrief guidelines for interviewers and interviewer feedback forms. City then oversaw the pilot fieldwork process with over 800 interviews conducted across these two countries.

Deviations from the project workprogramme and corrective actions taken / suggested

City has no deviations to report on this workpackage.

List of deliverables

2.1 Participating countries should have all materials and information necessary for them to complete fieldwork for ESS Round 5 (submitted).
2.2 Questionnaire design template completed summarising detail of questionnaire design process (submitted – Deliverables 2.2 & 2.3 have been combined).
2.3 Summary of quantitative pilot study results in design template (submitted - Deliverables 2.2 & 2.3 have been combined).
2.4 Final version of the Round 5 Questionnaire has been submitted for information (Deliverable 2.4).

List of milestones

- Participating countries with funding confirmed in an advanced state of preparations for fieldwork for ESS Round 5 (milestone reached).
Workpackage 3: Sampling coordination

Responsibility: GESIS

Workpackage objectives and starting point of work at beginning of reporting period

The objective of this workpackage is as follows: “Design and implementation of workable and equivalent sampling strategies in all participating countries (with the aid of a panel of experts). Assessment and continued consultation with participating countries regarding the sampling strategy.”

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

During the first year of Round 5, the Sampling Panel revised both the short and long versions of the sampling guidelines for participating countries. The long version is intended for countries new to the ESS or who have missed one or more rounds; the short version is a summary version designed to remind regular participants of the essential sampling requirements and to flag new developments for Round 5 to them. Both versions of the sampling guidelines were made available to National Coordination teams in February 2010, via the intranet area on the ESS website.

An updated version of the sampling sign-off form has also been produced for Round 5. This includes the addition of a field labelled “planned oversampling”. This has been included to keep track of deviations from the proposed (Equal Probability of Selection Method) sample designs in cases where a country has tried to compensate for anticipated variation in response rates. The Sampling Panel also agreed to develop a strategy to take these variations into account in the proposed sample design.

By early June 2010, members of the Sampling Expert Panel had been assigned to all of the countries that had confirmed participation in ESS Round 5 by that date. By the end of the reporting period, the panel members had, in close cooperation with the respective National Coordinators, discussed and approved six sample designs for Round 5. These were for: Bulgaria, Cyprus, Finland, Germany, Israel and Norway.

The focus of the Sampling Expert Panel in the first year of Round 5 has also been on two other fields of activity – dissemination and teaching. Members of the Sampling Panel have carried out dissemination of methodological and statistical findings through contributions in academic monographs, journals, talks at scientific conferences and a PhD dissertation on design effects, which was completed by one member of the sampling panel (Matthias Ganninger). In addition, the sampling panellists gave talks or chaired sessions related to their work in the ESS at the Conference of the European Survey Research Association (ESRA), (29 June to 3 July 2009) (Warsaw, Poland). Members of the Sampling Panel have also been involved in teaching sampling concepts and approaches and the implementation of different sample designs in the ESS.

Deviations from the project work programme and corrective actions taken / suggested

Workpackage 3 has worked to timetable and there have been no deviations.

List of deliverables

3.1 Detailed sampling strategies, approval of 30 implementation plans (mostly in Year 2 but partially completed in Year 1), report to Coordinating Team (ongoing).

List of milestones

- Successful design of appropriate random samples of the residential population in a diverse group of nations (milestone reached for six countries and progress initiated on the remaining countries).
Work package 4: Translation of instruments

Responsibility: GESIS

Workpackage objectives and starting point of work at beginning of reporting period

The objectives of this workpackage are to coordinate the translation of questionnaires for multi-national and multi-cultural implementation by applying existing guidelines and methods for the translation of the source questionnaire as well as reviewing and adapting where necessary, guidelines and assessment procedures, based on findings from previous rounds.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

Source questionnaire consultation: participation in Questionnaire Design Team meetings

At the beginning of the reporting period, staff from Workpackage 4 helped finalise the ESS Round 5 source questionnaire by regularly attending Questionnaire Design Team meetings; advice was given on formulating and designing the source questionnaire in a way to minimise translation and adaptation issues in the individual country translation and adaptation process. For example, in the context of ‘working evenings or nights’ the translation team advised against adding ‘between 6pm and 6am’ as this reference would not be valid in some countries where ‘evening’ starts later than 6pm and may have led to confusion.

Advance Translation

Advance Translation was implemented for the first time in ESS Round 5. The aim was to gather additional intercultural input before the source questionnaire was finalised. The Advance Translation process was prepared, guided and overseen by this workpackage. In January and February 2010, advance translation was carried out in Poland (Polish) and Switzerland (Swiss-French). Both countries were asked to perform a problem-oriented translation of items from the Round 5 Pilot questionnaire – following the recommended ESS translation process of parallel translation and team discussion. A split approach was used to determine which sections of the pilot questionnaire would be translated. Items were selected which were thought to be of particular interest or concern with a view to finalising the source questionnaire. The items were almost exclusively new items or items that had been slightly modified from earlier rounds. The results of the advanced translation were delivered at the end of February enabling the findings to contribute to the finalisation of the source questionnaire.

Translation Verification

An external provider (cApStAn) was sub-contracted to conduct translation verification of a sample of items in all language versions for the first time in ESS Round 5. The verification process was prepared, monitored and guided by GESIS staff in collaboration with City. City selected the items for verification based on issues that had arisen during the design process. This involved instructing cApStAn on the ESS-specific translation necessities and liaising between cApStAn, the CCT and the ESS national teams. GESIS staff and cApStAn jointly developed a “Translation and Verification Follow-up Form” (TVFF), which was used by all countries during the translation process for Round 5.

SQP Coding

The transition between translation verification and the subsequent SQP Coding exercise was planned by this workpackage in collaboration with UPF. (See Workpackage 9 for details about SQP coding.)

Translation Guidelines / Quality Check List

The existing translation guidelines (Deliverable 4.1) were updated and released by this workpackage in May 2010 in collaboration with City. In addition, the Translation Quality Checklist (Deliverable 4.2) was updated and distributed to participating countries as a stand-alone document for the first time in Round 5. The checklist

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3 Both teams followed the ‘Optimum procedure’: Two advance translations and a subsequent review meeting, including the two translators and a third reviewing person. One of the translating persons at least was supposed to be an experienced (survey) translator.
is designed to assist countries in their final proof-reading and copy-editing efforts with a view to avoiding common implementation and translation errors.

**Drafting and populating of translation templates**
The translation workpackage and cApStAn jointly developed the “Translation and Verification Follow-up Form” (TVFF), which was used by all countries during the translation process for Round 5. This excel form replaced the MSWord translation templates used in previous rounds. The TVFF was populated with the English source questions and then sent to the countries for translation. The form included all columns necessary for the entire translation, verification and documentation processes.

**Translation help-desk and online aid for translators**
At the end of the reporting period, the workpackage started to run – in close cooperation with City – a translation help desk where countries could raise specific translation queries. Queries and answers were collected and the first ‘Questionnaire and Translation queries & responses’ document (Deliverable 4.5) was made available to National Coordinators via email and the ESS Intranet in June 2010. Where necessary, translation guidance was also given on a case-by-case basis.

**Deviations from the project workprogramme and corrective actions taken / suggested**
Workpackage 4 has worked to timetable and there have been no deviations.

**List of deliverables**

- 4.1 Translation guidelines (submitted)
- 4.2 Translation assessment and quality guidelines (submitted)
- 4.3 Source questionnaire consultation (submitted)
- 4.4 Support of individual national translation efforts (ongoing)
- 4.5 Frequently Asked Questions online aid for translators (submitted)
- 4.6 Report to the Coordinating team (ongoing)

**List of milestones**

- The production of well-founded, optimally comparable questionnaires in 20-30 countries. This work has been initiated and substantial progress made towards its completion during Year 1 – it will be completed in Year 2.
Work package 5: Fieldwork Commissioning

Responsibility: SCP

Workpackage objectives and starting point of work at beginning of reporting period

The main aim of this workpackage is to “Improve specifications for fieldwork and oversee commissioning of fieldwork in all participating countries in accordance with consistent best practice guidelines and checklists”.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

The first task in this workpackage was to update the Project Specifications for Participating Countries for Round 5. These are largely based on the Specifications that were used in Round 4. Changes made for Round 5 included updating the funding information, specifying national coordination activities more precisely and updating the specifications for the survey (section 4). This work was completed in close collaboration with City.

The second major activity was to oversee the commissioning of fieldwork in all participating countries in accordance with consistent best practice. This activity has been undertaken in close collaboration with Workpackage 6: Contract monitoring, which is the responsibility of GESIS.

At the end of the reporting period the Specification for Participating Countries in ESS Round 5 had been updated, a web-based fieldwork checklist had been improved and implemented by GESIS and contact had been made with most participating countries to discuss contracting and fieldwork procedures. Due to late financing in most countries, fieldwork commissioning will proceed well into Year 2 of ESS Round 5.

Table 2 shows information about the status of the fieldwork checklists and the availability of written contracts in Round 5 participating countries up to and including 30th June 2010. By the end of the reporting period, the checklists from 12 countries had been signed off; seven other countries (Netherlands, Belgium, Estonia, France, Lithuania, Portugal, Slovenia, Slovakia) had started completing the checklist and a further three countries had confirmed participation but not started to complete the checklist (Austria, Czech Republic, Ireland).

Deviations from the project workprogramme and corrective actions taken / suggested

SCP has no deviations to report on this workpackage.

List of deliverables

5.1 Fieldwork specifications Round 5 (submitted).
5.2 Signed-off on-line fieldwork checklists (submitted - overview provided for Month 12, Year 1) (Deliverables 5.2 & 5.3 have been combined)
5.3 Contracts with survey organisations (submitted - overview provided for Month 12, Year 1) (Deliverables 5.2 & 5.3 have been combined)

List of milestones

- Selection of competent field institutes in participating countries; documentation and report on process.
  Improved contract adherence, by incorporating into the contract the main fieldwork parameters agreed upon between the CCT and NCs (milestone reached for the majority of countries).
- Selection of NCs and survey organisations (milestone reached for the majority of countries).
This process was close to completion for a number of countries by the end of this contract. However the process will continue into Year 2 of ESS Round 5 as anticipated.

### Table 2 Status of Fieldwork Checklists

<table>
<thead>
<tr>
<th>Country</th>
<th>Participation status</th>
<th>Date fieldwork checklist (FWQ) signed off</th>
<th>Fieldwork organisation conducting the fieldwork</th>
<th>Website</th>
<th>Availability of written contract</th>
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<td>Germany</td>
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<td>2010-03-30</td>
<td>Infratest</td>
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<td>Russia</td>
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<td>2010-04-07</td>
<td>CESSI (Institute for Comparative Social Research)</td>
<td><a href="http://www.cessi.ru">www.cessi.ru</a></td>
<td>No</td>
</tr>
<tr>
<td>Switzerland</td>
<td>Confirmed</td>
<td>2010-04-15</td>
<td>M.I.S. Trend SA</td>
<td><a href="http://www.mistrend.ch">www.mistrend.ch</a></td>
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<td>Hungary</td>
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<td>Statistics Finland</td>
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</tr>
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<td>Bulgaria</td>
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<td>2010-04-28</td>
<td>Agency for Social Analyses (ASA)</td>
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<td>Israel</td>
<td>Confirmed</td>
<td>2010-06-02</td>
<td>The B.I. and Lucille Cohen Institute for Public Opinion Research</td>
<td><a href="http://bicohen.tau.ac.il/">http://bicohen.tau.ac.il/</a></td>
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<td>2010-06-16</td>
<td>European University Cyprus Research Centre</td>
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<td>2010-07-20 (effective 01-06-2010)</td>
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<td>Poland</td>
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<td>In progress</td>
<td>Centre of Sociological Research, Institute of Philosophy and Sociology, Polish Academy of Sciences</td>
<td><a href="http://www.ifispan.waw.pl">http://www.ifispan.waw.pl</a></td>
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Workpackage 6: Contract Monitoring

Responsibility: GESIS

Workpackage objectives and starting point of work at beginning of reporting period

The objective for this workpackage was to ensure adherence to ESS contractual conditions by national fielding agencies.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

The ESS aims to follow very high methodological standards. A standard specification for the participating countries establishes all the methods and procedures that have to be followed. For fielding the ESS, only high quality survey organisations that can accommodate probability sampling techniques are expected to be appointed. Since the survey costs are borne by the national funders it is primarily the participating countries who are responsible for the selection of the survey organisations and monitoring their work. However, in addition, two specific workpackages run by members of the ESS CCT promote adherence to standards. The main task of WP5 is to oversee the commissioning of fieldwork organisations while WP6 has to ensure adherence to contractual conditions once the contracts with the national fieldwork agencies have been made. Both workpackages cooperate closely in their work.

Documenting deviations and achieving continuous improvement

For the purpose of continuous learning and improvement from round to round, major deviations from the Specifications were reported back to the NCs on an individual basis. Measures on how to avoid similar problems in the future were discussed with the NCs and are subsequently monitored to see if they are actually implemented.

Questionnaire for ‘signing-off’ fieldwork procedures in Round 5

In order to monitor fieldwork plans in the countries of ESS Round 5, the fieldwork questionnaire which had already been used in previous rounds of the ESS was revised by Workpackage 6 in cooperation with Workpackages 2 and 5. The questionnaire asks about details of fieldwork plans, i.e. number of interviewers to be deployed, assignment sizes, interviewer briefings, call schedules, target non-contact and response rates, etc. It is meant to serve as an aid for NCs to enable them to adhere to the contract specifications and to help when dealing with (subcontracted) survey organisations. In addition, it aims to support the CCT when monitoring national fieldwork plans and flag up possible problems early on. The aim is the optimisation of results and prevention of deviations from the contract specifications from the outset. The questionnaire has to be filled in by each National Coordinator and sent back to the CCT before the contract with the survey organisation is signed. Together with each NC the CCT works through the fieldwork plans, tries to solve any envisaged problems and tries to secure agreement on a final strategy before the start of fieldwork.

In ESS4, an online version of the fieldwork questionnaire was used for the first time. The online questionnaire provides all relevant parties access to available information on an on-going basis and thus facilitates communication during the contracting process between NCs and the CCT, as well as amongst different CCT members. In the reporting period, the online version of the fieldwork questionnaire was revised for Round 5 taking into consideration comments from various other ESS workpackages. A link to the online questionnaire was sent to the Round 5 NCs at the beginning of 2010. By the end of the reporting period, 20 of the 25 countries that had confirmed participation in Round 5 had started filling in the online questionnaire. In 11 countries the discussion process regarding their fieldwork had been completed and their fieldwork plans signed-off.

ESS Round 5 fieldwork specifications and guidelines

In order to help countries plan and organise fieldwork effectively and to achieve consistent survey standards across countries, the CCT produces guidelines and recommendations each round. During the reporting period, WP6 in cooperation with WP2 and WP5 revised the guidelines on ‘Enhancing Response Rates’ and on
‘Fieldwork Progress Checks’ taking into consideration the results of and experiences from previous rounds of the ESS. These documents are available from the ESS website.

**ESS Field Directors Meeting**

As a part of the EU FP6 funded ‘quality enhancement activities’, a meeting with representatives of ESS survey organisations was held in June 2010 in Mannheim, Germany. Individuals from WP2, WP5 and WP6 were involved in the planning and organisation of the meeting since it was essential that input was received from those working most closely with national teams. Individuals from WP5 and WP6 provided a summary and conclusions from the meeting and discussed future prospects.

**Deviations from the project workprogramme and corrective actions taken / suggested**

Workpackage 6 has followed the timetable and there have been no deviations.

**List of deliverables**

WP6 produced a report on compliance with, and deviations from, the prescribed procedures and standards in ESS4. The results of this report have to be taken into account by each country when preparing fieldwork for Round 5. In general, it can be said that significant progress has been made towards the overall deliverable of preparing and supporting national teams to produce ‘consistent survey standards and procedures, high response rates and adherence to delivery targets’.

**List of milestones**

- Milestone reached. Online fieldwork questionnaire made available and completed by a number of participating countries (the questionnaire was updated for Round 5 and made available in February 2010). By the end of the reporting period the fieldwork plans of 11 countries had been signed off. This work will continue into Year 2.
Workpackage 7: Piloting and quality control

Responsibility: KUL

Workpackage objectives and starting point of work at beginning of reporting period

The two objectives for this workpackage were to:

1. Assess quality of constructs in the pilot study: set up and implement evaluation procedures to assess and where possible, improve data quality for main survey
2. Design mechanisms for obtaining information on response process, differential response rates and possible bias as a consequence of non-response in the main stage survey

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

Staff from KUL have carried out the following work in order to achieve the objectives of this workpackage:

- Contributed to discussions for the design of round 5 rotating modules on Trust in the Police and Courts and Work, Family and well-being as well as, to some extent, discussions on the items measuring partnership status and survey methodological issues, such as length of interview (completed);
- Analysed data from the pilot study by examining constructs and the relationships between them via structural equation models, exploratory factor analysis, scales, correlation and descriptive analysis including proportion item non-responses (completed, see list of deliverables);
- Designed improved sample frame specific contact forms and associated documentation in particular working to improve the explanations and instructions made available to National teams to assist them in using the ESS Round 5 contact forms (completed, see list of deliverables);
- Developed specific interviewer training materials for assessing neighbourhood characteristics including instruction for national teams and coding guidance for interviewers (completed, see list of deliverables);
- Studied ways to acquire a balanced response rate (e.g. similar response rates from different sub-groups) based on the results and experiences from earlier rounds (completed, see list of milestones);
- Consulted and followed-up on the use of contact forms in the preparation of fieldwork activities to ensure the final production of the Round 5 contact file (in progress); and
- Checked and approved the bridging from national contact forms to final ESS data requirements (in progress).

In addition, KUL staff have invested a lot of time in cleaning and processing the contact forms for countries that experienced delays in fieldwork for ESS Round 4 as well as liaising with specific National Coordinators to resolve problems with the contact form data files.

Staff from SCP have also been involved in objective 2 of this workpackage. The focus for SCP activities was on studying ways to acquire a balanced response rate (i.e. similar response rates from different sub-groups), partly as a result of experiences from earlier rounds as reported in a book on non-response that was recently published by Wiley - see Ineke Stoop, Jaak Billiet, Achim Koch and Rory Fitzgerald (2010) Improving Survey Response: Lessons Learned from the European Social Survey, Chichester: John Wiley & Sons Ltd.

Individuals from KUL have also given presentations, held workshops and produced other research outputs related to the activities of WP7 during Round 5 Year 1 e.g.:

- Matsuo H, Loosveldt G & Billiet J (2009), Interviewer training for observable data on contact forms, Presentation at the 37th CCT meeting, September 2009 City University, London
• Matsuo H & Loosveldt G (2010), ESS Round 5: contact forms & interviewer training for observable data. Presentation at the ESS National Coordinators Meeting, The Hague, Netherlands, 10-11 May 2010
• Matsuo H (2010), ESS workshop: contact files, ESS National Coordinators Meeting, The Hague, Netherlands, 10-11 May 2010

Deviations from the project work programme

The consultation and follow-up exercise on the use of contact forms in the preparation of fieldwork activities to ensure the production of a complete contact file for round 5 has not been completed in Year 1. This work will continue during Year 2.

List of deliverables

7.1 Detailed report on question quality from the pilot data - Billiet J & Matsuo H, ESS Round 5 Pilot analysis Bulgarian and British sample, March 2010 (submitted)
7.2 Revised contact forms (example contact form submitted)
7.3 Associated documents (for the contact forms) made available to National teams - Matsuo H, Billiet J, Loosveldt G, Fitzgerald R & Widdop S, ESS Round 5: Update on explanations and instructions for completing ESS contact forms, June 2010 (submitted)
7.4 Interviewer training developed for neighbourhood characteristic coding (submitted – Deliverable 7.4 has been included as part of Deliverable 7.3)

List of milestones
• Report on analysis of pilot (milestone reached).
• Production of revised Contact forms (milestone reached).
Workpackage 8: Design and analysis of pilot studies

Responsibility: UPF

Workpackage objectives and starting point of work at beginning of reporting period

Input in the quality control of the questionnaire design process and the design of experiments to evaluate new items and constructs. Contributions to the evaluation of both pilot and main questionnaires with particular emphasis on the reliability and validity and constructs.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

During the first year of Round 5, several reports have been delivered to the coordinating institution (City) with respect to the improvement of the questionnaires based on theoretical analysis of the questions and evaluations using SQP. In addition, one senior member of staff from UPF has also participated in the question module design meetings with members of the CCT questionnaire design sub-group providing advice on the predicted reliability and validity of draft questions.

UPF (in collaboration with City) have also been responsible for designing the split ballot MTMM experiments for the pilot study. The data from these experiments were analysed and the results were fed back to the two question module design teams to help them make improvements to their questions.

Deviations from the project work programme

None - all required activities for Workpackage 8 have been completed according to the work programme.

List of deliverables

8.1 Reports and recommendations via Coordinator on recommended improvements to questionnaire based on SQP and other analyses of pilot data (submitted).
8.2 Detailed statistical analyses of the properties of new questions at the pilot stage (two reports focusing on evaluation of items measuring attitudes towards the Police and media usage have been combined and submitted).

List of milestones

- Pilot questionnaire developed including MTMM experiments (milestone reached).
- SQP analyses performed (milestone reached).
Workpackage 9 Analysis of reliability and validity of mainstage questions

Responsibility: UPF

Workpackage objectives and starting point of work at beginning of reporting period

Design experiments to evaluate in all countries in the supplementary questionnaire for main stage fieldwork (the analysis will be done in Round 5 Year 2).

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

During the first year of round 5, a proposal for the evaluation by split-ballot MTMM experiments of some questions in the supplementary questionnaire was delivered to City for their input. This proposal was then presented to the rest of the CCT and the National Coordinators by one of the team members from UPF at an NC meeting that was held in May 2010. After that, extensive discussion took place with respect to the improvement of the formulation of the questions for the supplementary questionnaire for ESS Round 5. The final version of the supplementary questionnaire for ESS Round 5 was decided, drafted and issued to National Coordinators in May 2010.

One further task was also added to the programme of work under WP9 during Year 1 of Round 5 (and continuing into Year 2). Given the detected differences in the form of the questions across countries and the consequences for the quality of these questions, the evaluation of the translations of some questions will be carried out prior to Round 5. This will be achieved by using a specially developed version of SQP, which aims to code the form of the questions. The source questionnaire will be coded (based on the form of the same questions) by two members of the UPF team. All NCs will then be asked to code the final version of their questionnaires. This will take place after translation verification but before fieldwork commences. UPF will compare the NC coding (of their translated questions) with the UPF codes (of the source questionnaire) using the special SQP computer program. If any differences are detected, NCs will be asked to consider the differences and explain the reasons for these. In this way we will try to reach a situation where we can be sure that the form of the questions is comparable across countries. This work started in Year 1 and will be completed in Year 2.

Deviations from the project work programme

None.

List of deliverables

9.1 Draft report on proposals for the main fieldwork Supplementary questionnaires (submitted).
9.2 Face to face and supplementary versions of the main fieldwork Supplementary questionnaires distributed to National Coordinators (example of Version A of the Face-to-face questionnaire submitted).

List of milestones

- Topics / problems identified where split ballot MTMM experiments might lead to improved data quality (milestone reached).
Workpackage 10 Data archiving and delivery

Responsibility: NSD

Workpackage objectives and starting point of work at beginning of reporting period

The main objectives for Workpackage 10 for the first year of Round 5 have been to design the processes and procedures for subsequent checking, mapping, merging, documenting, archiving and delivery of the fifth round dataset and documentation. In addition, work has started on the quality control checking procedures for country-specific questions to ensure effective mapping to post-coded variables.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

ESS Archive Intranet
During the first round of the ESS the data archive team developed a comprehensive and user-friendly Intranet Archive website to be used by national data producers and the CCT (https://essdata.nsd.uib.no). The website has served as the central archive service for the ESS since then. It includes all services necessary to plan and produce the required data and documentation deliverables. In ESS Round 5 the website has been redesigned in order to make navigation and download specifications etc easier for users.

ESS coding standards
NSD has prepared new versions and editions of international classifications and standards. From Round 5, the ESS will be using a 2-digit version of the NACE Rev. 2 classification (Statistical Classification of Economic Activities in the European Community) for the coding of industry. NACE Rev. 2 is a new, major revision of the NACE Rev. 1.1, which was used in Rounds 2-4 of the ESS. Comprehensive documentation of this standard has been provided on the Archive Intranet website alongside the coding schema in different formats.

An updated version of the coding standard for languages, ISO 639-2 (bibliographic codes) has also been prepared. The codes have been updated compared to the standard used in previous rounds, and an overview of the changes is available from the website, in addition to the coding schema.

The ESS 2010 Data Protocol
One of the most important documents available from the ESS Archive Intranet website is the ESS 2010 Data Protocol. The Data Protocol is a comprehensive document with specifications and procedures to be used in the production of national ESS data files. In general, the Data Protocol gives specifications for the coding of data, the production and delivery of data files and other electronic deliverables. Some of the specifications, for example coding standards, relate directly to the ESS Archive Intranet website. The Data Protocol also defines what the national teams are required to deposit to the data archive, and pays special attention to the anonymisation of data.

The largest part of the Data Protocol offers specifications of variables from all questionnaires as well as country-specific variables and administrative variables. The specifications give detailed instruction on all attributes of the variables. As a result the Data Protocol can only be completed after the source questionnaires have been finalised. The first complete edition of the 2010 Data Protocol was made available to the national teams on 7 July 2010. A preliminary version without data specifications was made available on 8 May 2010 and a final version will be made available in Year 2.

Programmes for applying Data Protocol attributes to data files
Another central resource of the Archive Intranet website are the programs for applying Data Protocol attributes to the variables in the data files. These programmes were available from the Archive website parallel to the Data Protocol in early July 2010. They are available in SPSS and SAS; the two most widely used statistical packages in academia.

Consultation process on education, income and religion
In ESS Round 4, the CCT introduced a consultation and signoff process for background variables on education and religion. The reason for this was that education and religion had turned out to be difficult measures with
respect to the bridging from national instruments to the ESS standard coding frames. The operational responsibility for this process was placed at NSD. In ESS Round 5 the consultation process for religion continued to ensure that the improvements started in Round 4 were continued and that eventually new participating countries would be able to follow the standardised procedures. In addition, the education measurements and coding frames have undergone a major revision for Round 5 compared to previous rounds. The consultation process involving national teams, academic experts and the CCT (with NSD as communication hub) has been an important task for NSD in this round.

From ESS Round 4 income deciles based on official statistics were introduced as categories in the ESS income variable. For a number of countries it turned out that the measurement could be substantially improved by a larger focus on formal procedures with respect to setting up the categories. A consultation process checking these formal procedures has been introduced in ESS Round 5. The operational responsibility for this process is placed at NSD.

Deviations from the project workprogramme and corrective actions taken / suggested

Progress has been satisfactory. There are no major deviations from the project work plan.

List of deliverables

10.1 ESS Archive Intranet website – made available to NCs on schedule.
10.2 ESS 2010 Data Protocol - made available to NCs on schedule (submitted).
10.3 Signoff procedure complete for a number of countries regarding country specific background variables. (Procedure commenced for all countries and progress table submitted.)

List of milestones

- National teams able to start fieldwork with data delivery requirements clearly specified (milestone reached).
Workpackage 11 Collection of Contextual and Event data

Responsibility: SCP

Workpackage objectives and starting point of work at beginning of reporting period

The main aims of this workpackage were the assessment, review and setting of guidelines for the collection of event data, and instructing and advising NCs in collecting this data.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

During the first year of Round 5 the inventory of publicly available information on country context provided by contextual databases has been reviewed and made available via the ESS website\(^4\). Assessing the quality of the contextual data sites, adding different sources and ensuring these cover a variety of countries is a time-consuming job. This inventory of contextual data has been replaced by the ESS Contextual Data Inventory and Database hosted by NSD\(^5\). This was produced as part of the project “European Social Survey Infrastructure – Improving Social Survey Infrastructure – Improving Social Measurement in Europe”.

At the end of this reporting period, a review of the Round 4 guidelines for event reporting had been conducted and new guidelines for Round 5 had been developed (Deliverable 11.1). The web-based ESS-event reporting tool can now be accessed from the main ESS website (www.europeansocialsurvey.org). The development of the new event reporting tool and its maintenance is overseen by City.

Deviations from the project workprogramme and corrective actions taken / suggested

Due to technical problems, the event reporting web-tool for Round 5 could not be improved in time for the start of fieldwork.

List of deliverables

11.1 Guidelines to event reporting and collecting contextual information (Month 11) (Submitted).
11.2 Produce overview of websites comprising statistical and systemic contextual data (Month 9) (Submitted).

List of milestones

As above.

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Work package 12: ESS dissemination monitoring

Responsibility: UL

Workpackage objectives and starting point of work at beginning of reporting period

The objective of the workpackage was to work closely with ESS National Coordinators (NCs) in promoting the dissemination of data from Rounds 1-5 of the ESS; to monitor publication and events planning, as well as scientific and policy analysis using ESS data that derive from the ESS in each participating nation as well as outside the ESS countries; and to achieve greater presence and awareness of ESS outputs.

Progress towards objectives – tasks worked on and achievements made with reference to planned objectives

The following actions have been carried out in the reporting period to address these objectives:

- Reviewing National Coordinators’ dissemination plans using a standardised questionnaire. This has included obtaining a more formalised picture of the planned dissemination activities and detecting in more detail which types of activities are more widespread in ESS countries and which less so. It has also involved liaison with NCs on the range of possible dissemination activities available and encouraging them to select a wider range of dissemination activities to promote the ESS.

- Mapping planned dissemination activities across ESS countries, categorising them by frequency and type. This includes publications and on-line information, face-to-face briefings and events as well as policy and research use.

- Identifying countries according to the intensity and type of their dissemination efforts to recognise patterns of activity by country and, if necessary, taking individualised actions in the future to encourage further outreach activities.

Deviations from the project workprogramme and corrective actions taken / suggested

There were no deviations from the project work plan.

List of deliverables

12.1 Dissemination Activities Report based on a questionnaire distributed to NCs (submitted).

List of milestones

Workpackage 12 had no milestones during the reporting period.
**Timetable (June 2009 – June 2010)**

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<thead>
<tr>
<th>Function</th>
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<tr>
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<tr>
<td>Questionnaire Design Team appointments</td>
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<tr>
<td>Questionnaire development</td>
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<td>Sample design and selection</td>
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<tr>
<td>National Coordinators and Field Agencies appointments</td>
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<tr>
<td>Field quantitative pilots</td>
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<tr>
<td>Translation</td>
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<tr>
<td>Event data collection</td>
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<tr>
<td>Main fieldwork</td>
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<tr>
<td>Data quality assessment</td>
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<tr>
<td>Archive website update, data protocol, standards, cleaning programmes and country specific signoff</td>
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<tr>
<td>Month 13 not shown – reporting month</td>
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Note: Shaded boxes denote project reporting period
Annex 1 – Who’s who in ESS Round 5?

CENTRAL COORDINATING TEAM

**Grant holders:**

- **Roger Jowell (PI)**
- Geert Loosveldt
- Bjørn Henrichsen
- Brina Malnar
- Michael Braun
- Willem Saris
- Ineke Stoop

**Others:**

- Rory Fitzgerald
- Sally Widdop
- Eric Harrison
- Irmtraud Gallhofer
- Matthias Ganninger
- Sabine Häder
- Achim Koch
- Brita Dorer
- Kirstine Kolsrud
- Knut Skjak

**SAMPLING PANEL**

- Matthias Ganninger
- Sabine Haeder
- Siegfried Gabler
- Seppo Laaksonen
- Peter Lynn

**QUESTION MODULE DESIGN TEAMS**

**Trust in the Police & Courts: a comparative European analysis**

- Jonathan Jackson
- Mike Hough
- Stephen Farrall
- Kauko Aromaa
- Jan de Keijser

**Work, Family and Well-being: The Implications of Economic Recession**

- Duncan Gallie
- Martina Dieckhoff
- Helen Russell
- Nadia Steiber
- Michael Tahlin
METHODS GROUP

Denise Lievesley (Chair)  Head of School of Social Science and Public Policy at Kings College London
UK
Norman Bradburn  NORC, USA
Paolo Garonna  UNECE, Switzerland
Lars Lyberg
Vasja Vehovar  University of Ljubljana, Slovenia

SCIENTIFIC ADVISORY BOARD

Max Kaase (Chair)  International University Bremen, Germany
Anton Amann  Austria
Piet Bracke  Belgium (Flemish)
Pierre Desmarez  Belgium (Francophone)
Lilia Dimova  Bulgaria
TBC  Croatia
Costas Gouliamos  Cyprus
TBC  Czech Republic
TBC  Denmark
Dagmar Kutsar  Estonia
TBC  Finland
TBC  France
Ursula Hoffman-Lange  Germany
György Szoboszlai  Hungary
Michael Breen  Ireland
Shalom Schwartz  Israel
Algis Krupavicius  Lithuania
Peer Scheepers  Netherlands
Ann-Helén Bay  Norway
Henryk Domanski  Poland
TBC  Portugal
Vladimir Magun  Russian Federation
Ivan Bernik  Slovenia
L’ubomír Falt’an  Slovakia
Robert Erikson  Sweden
Peter Farago  Switzerland
Eugene Golovakha  Ukraine
Jacqueline Scott  United Kingdom
NATIONAL COORDINATORS

Karl Müller
Leen van Nooten & Dries Terry
Marc Jacquemain
Lilia Dimova
Ljiljana Kaliternia-Lipovcan
Marios Vyronides
Klára Plecitá-Vlachová
Torben Fridberg
Marc Ainsaar
Heikki Ervasti
Daniel Boy / Nicolas Sauger
Jan van Deth
Ivett Szalma
Michael Breen
Noah Lewin-Epstein
Vaidas Morkevicius
Kees Aarts & Yfke Ongena
Kristen Ringdal
Pawel Sztabinski
Jorge Vala
Anna Andreenkova
Denise Fedrakova
Slavko Kurdija
Mikael Hjerm
Michele Ernst Stähli
Andrii Gorbachyk
Alison Park & Alun Humphrey

Austria
Belgium (Flemish)
Belgium (Francophone)
Bulgaria
Croatia
Cyprus
Czech Republic
Denmark
Estonia
Finland
France
Germany
Hungary
Ireland
Israel
Lithuania
Netherlands
Norway
Poland
Portugal
Russian Federation
Slovakia
Slovenia
Sweden
Switzerland
Ukraine
United Kingdom
Financial Information

The budget of €1,085,000 was distributed as follows for ESS Round 5 Year 1.

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<td></td>
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*Note that GESIS was funded directly from within Germany with most funds not being transferred through City University. City entered into a subcontract with GESIS for €85,340 for a limited set of tasks.